

Ares(2022) 1499976 – 01.03.2022

**QUESTIONNAIRE to be used for biennial reporting
on the application of the IUU Regulation**

Reporting period 2020-2021 (deadline for submission 30 April 2022)

Member State:	Denmark
Organisation:	<i>The Danish Fisheries Agency</i> <i>The Danish Veterinary and Food Administration</i>
Date:	08.07.2022
Name, position and contact details of responsible official:	<i>The Danish Fisheries Agency (DFA) Email: iuu@fiskeristyrelsen.dk (Import (direct landings) and Export)</i> <i>The Danish Veterinary and Food Administration (DVFA) (Import and re-export):</i> [REDACTED] <i>Email: iuu@fvst.dk, fvst@fvst.dk</i>

May the Commission provide a copy of this questionnaire to other Member States and the European Fisheries Control Agency?	
Yes:	<input checked="" type="checkbox"/>
Yes except for questions (list):	
No:	

Please check if your notified authorities under the IUU Regulation (Articles 15.2, 17.8 and 21.3) correspond with the latest version of the Official Journal:

[https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52021XC0215\(01\)](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52021XC0215(01))

If not, please provide the updated notification to DG MARE through the Functional mailbox: MARE-NOTIFICATIONS-IUU-1005-2008@ec.europa.eu

Please state your notified authorities under the IUU Regulation in accordance with Article 39.4 (nationals):

The Danish Fisheries Agency (DFA)

.....

Section 1. Information on legal framework¹

Please transmit your national law and/or any administrative guides for the implementation of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation). If available, please provide the link to the official national database.

DVFA has made minor modifications in our administrative guides for the DVFA IUU control and for the importers. The later one is found here:

<https://www.foedevarestyrelsen.dk/Selvbetjening/Guides/Sider/Saadan-importerer-du-fisk-fra-tredjelande.aspx>

Section 2. Information on administrative organisation²

2.1. Please provide information on your administrative organisation for the implementation of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation), in particular as regards:

- port inspections (Chapter II);
- catch certification scheme (Chapter III).

If different authorities/services are involved in the implementation of the IUU Regulation, please distinguish between:

- *the control of direct landings of third country fishing vessels; DFA*
- *validation of catch certificates upon exports; DFA*
- *checks and verifications of catch certificates for imports under direct landing; DFA*
- *checks and verifications of catch certificates for imports arriving by other means than fishing vessels (e.g. by containers, trucks); DVFA*
- *validation and verifications of re-exports. DVFA*

In addition (if different authorities/services are involved), please explain and describe:

- a) *vertical co-operation (between local/regional authorities and head-quarter);*
- b) *co-operation between different authorities and allocation of tasks for various authorities in the implementation of the IUU Regulation (Fisheries, Health, Customs, Coast Guard, Navy, etc.).*

... a) *DVFA*

- *Single Liaison Officer situated in the International Trade Division deals with*
 - *Requests for verification to Flag State authorities*
 - *Mutual assistance requests from the Commission and other Member States*
 - *Issuance of administrative guidelines to the IUU control staff at the veterinary border inspection posts and to importers*
 - *Training of staff*
 - *Contact to the Commission and to the Member States*
- *Danish IUU control situated on the border inspection posts*

¹ This section 1 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

² This section 2 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

- *Import IUU control (documentary, identity and physical checks)*
- *IUU control in Aalborg, Denmark*
 - *Re-export control (documentary checks)*
 - *Issuing of processing statements and storage documents for UK (and Japan in future).*

.....b) *DFA and DVFA have established a written procedure describing the co-operation for the implementation of 1005/2008. DVFA and DFA cooperates also ad hoc basis on specific cases, preparations of meetings, reports etc.*

Cooperation between DVFA and the Danish Customs Service: There is both central and regional co-operation with the Customs Services. There is a written agreement about cooperation at central level.

DVFA is responsible for the official control at the border inspection posts and there is therefore very close cooperation between the IUU control and the border control. DVFA Single Liaison officers are also responsible for the official control at the Danish border control posts.

2.2. How many officials are involved in the implementation of the catch certification scheme?

Please specify the number of officials expressed in Full Time Equivalent (FTE):

- *for checks and verifications in accordance with Articles 16 and 17;*
- *for validation of catch certificates and re-export certificates in accordance with Articles 15.2 and 21.3.*

Article 16, 17 and 21.3.:

DVFA: Import and re-export control, 10,25 FTE (divided on 21 persons)

DFA: Import and export control, 8,5 FTE

2.3. Does your country have freezones/freeports³ in which activities relevant to importation/exportation/processing of fishery products are authorised?

Yes *No*

If yes, please provide a list of such zones/ports.

Section 3. Information on access to ports, including for direct landings and transshipments of fishery products, by third country fishing vessels⁴ (and information on related port inspections and confirmed infringements)⁵

³ https://ec.europa.eu/taxation_customs/business/customs-procedures/what-is-importation/free-zones_en

⁴ Fishing vessels as defined in article 2.5 of the IUU Regulation.

⁵ This section 3 refers to Chapter II (Articles 4 to 11) of the IUU Regulation and is applicable to coastal Member States. Landlocked Member States should not fill in this section.

3.1. Does your country have designated ports for access to port services or direct landings or transhipment of fishery products by third country fishing vessels (Article 5 of the IUU Regulation⁶)?

Yes No

If yes, please check if your list of designated ports in accordance with Article 5.3 corresponds to the latest version of the Office Journal:

[EUR-Lex - 52021XC1201\(03\) - EN - EUR-Lex \(europa.eu\)](#)

If not, please provide the updated notification to DG MARE through the Functional mailbox: MARE-NOTIFICATIONS-IUU-1005-2008@ec.europa.eu

3.2. In years 2020 and 2021 respectively, how many times have third country fishing vessels been granted authorisation to access designated ports in your country only for repair/maintenance/supply?⁷

2020

No specific registration of that type of access has been made.

2021

Due to an increase in fuel costs and a rising price level in general there has been a clear increase in the number of vessels, from primarily Norway, requesting access to Danish ports for repair/maintenance/supply. No specific registration has been made of that type of access though.

3.3. How many landings and transhipments in designated ports by third country fishing vessels have been recorded by your country between 1 January 2020 until 31 December 2021? How many inspections did your country carry out and how many infringements have been detected?

Please fill-in the table below (2020):

Inspections of third country vessels in Member States ports (2020)								
Type of operation	Vessels	Figures (2020)	Flag of the third country vessel(s) ⁸					Total
			CAN	FRO	GRL	NAM	NOR	
Landings	Non-EU vessels using MS designated ports	Number of landings	2	10	9	1	260	282
		Number of inspections	0	0	4	0	18	22
		% of inspections / landings	0%	0%	44%	0%	7%	8%
		Number of infringements	-	-	-	-	-	-
Transhipments	Non-EU vessels using MS	Number of transhipments in ports	-	-	-	-	-	-
		Number of inspections	-	-	-	-	-	-

⁶ Please note that ports designated under Regional Fisheries Management Organisations must also be designated under the IUU Regulation with restrictions if necessary (species, etc.).

⁷ It is reminded that provisions of Chapter II apply to third country fishing vessels aiming to access EU ports for port services, even in cases they do not carry fishery products on board and therefore no landing or transhipment operation is foreseen. Only designated ports in accordance with Article 5 can be used in this context.

⁸ ISO Alpha-2 country codes.

	designated ports	% of inspections / transhipments	-	-	-	-	-	-
		Number of infringements	-	-	-	-	-	-

Please fill-in the table below (2021):

Inspections of third country vessels in Member States ports (2021)								
Type of operation	Vessels	Figures (2021)	Flag of the third country vessel(s) ⁹					Total
			FRO	GBR	GRL	ISL	NOR	
Landings	Non-EU vessels using MS designated ports	Number of landings	23	256	14	3	218	514
		Number of inspections	2	8	2	0	15	27
		% of inspections / landings	9%	3%	14%	0%	7%	5%
		Number of infringements	2	33	0	0	17	52
Transshipments	Non-EU vessels using MS designated ports	Number of transshipments in ports	0	0	0	0	0	0
		Number of inspections						
		% of inspections / transshipments						
		Number of infringements						

3.4. From the figures above, in the cases where your country detected infringements concerning third country vessels, please specify for each infringement the flag, the vessel's name, the type of infringement and the measures taken / sanction imposed (Article 11 of the IUU Regulation).

Please fill-in the table below (2020):

Flag of the third country vessel ¹⁰	Name of the third country fishing vessel	Type of infringements	Measures taken
NOR		1005/2008 of 29-09-2008, art. 6	Fine

Please fill-in the table below (2021):

Flag of the third country vessel ¹¹	Name of the third country fishing vessel	Type of infringements	Measures taken
GBR		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process

⁹ ISO Alpha-2 country codes.

¹⁰ ISO Alpha-2 country codes.

¹¹ ISO Alpha-2 country codes.

		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		404/2011 of 8-4-2011, art. 15	In process
		404/2011 of 8-4-2011, art. 15	In process
		404/2011 of 8-4-2011, art. 15	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
NOR		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	Fine
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	In process
		1005/2008 of 29-09-2008, art. 6	Fine
		1005/2008 of 29-09-2008, art. 6	In process

3.5. Please provide:

- the quantities of fish landed by third countries' fishing vessels in your designated ports in 2020 and 2021, respectively (by species and flag of the vessels);
- the quantities of fish transhipped from third countries' fishing donor vessels (to third countries or EU fishing receiving vessels) in your designated ports in 2020 and 2021, respectively (by species and flag of the vessels):

Please fill-in the table below (landings):

Landings in 2020			Landings in 2021		
Flag of the third country vessel ¹²	Landed quantities by species		Flag of the third country vessel ¹³	Landed quantities by species	
FRO	AJQ	520.00	FRO	ARU	3232.00
	ARU	2840.00		HER	2814004.00
	DAB	243.00		LXX	12438.00

¹² ISO Alpha-2 country codes.

¹³ ISO Alpha-2 country codes.

	GUG	3053,00		MAC	115384,00
	HER	281790,00		WHB	48796170,00
	MAC	7671,00			
	SPR	1057150,00			
	WHB	18587277,00			
	WHG	971,00			
GBR	ANE	2,00	GBR	ANF	59737,00
	ANF	28618,00		ARU	3318,00
	BLL	184,50		BLI	23794,00
	CAA	211,00		BLL	1741,50
	CAT	5792,00		CAA	5,00
	COD	105113,00		CAT	2829,00
	CRE	1730496,00		COD	130428,00
	DAB	1286,00		CRE	2865140,00
	GUG	1210,00		DAB	10443,00
	GUR	800,00		GDG	473,00
	GUU	12,00		GFB	165,00
	HAD	87101,00		GHL	26560,00
	HAL	2806,00		GUG	1361,00
	HER	82626,00		GUU	260,00
	HKE	139502,30		GUX	629,00
	JOD	10,00		HAD	85979,00
	LBE	644,00		HAL	1868,00
	LEM	6626,50		HER	59650,00
	LEZ	6340,00		HKE	131147,00
	LIN	44734,00		JOD	16,00
	MAC	7665533,00		LBE	15079,90
	MEG	1389,00		LEM	5341,00
	MON	404,00		LEZ	18719,00
	NEP	77287,00		LIN	96650,00
	PLA	6,00		LIO	57,00
	PLE	27616,00		LXX	1375,00
	POK	907092,00		MAC	14023112,00
	POL	44786,00		MON	169,00
	RED	57,00		NEP	96858,00
	RJC	188,00		PLE	95639,00
	RJM	143,00		POK	1801021,00
	SOL	60,00		POL	9620,00
	SQC	1294,50		RED	9862,00
	SQU	2229,00		RJC	431,00
	TUR	1790,00		RJM	332,00
	USK	1806,00		RJN	317,00
	WEG	24,00		SCE	777,00
	WHB	10121142,00		SOL	239,00
	WHG	51937,00		SQC	13595,00
	WIT	4072,50		SQR	1029,00
				SQU	593,00
				SYC	960,00

			TLP	1,00	
			TUR	3374,00	
			USK	8016,00	
			WEG	105,00	
			WHB	21464767,00	
			WHG	72837,00	
			WIT	6710,00	
GRL	ANE	711,00	GRL	CEP	458,00
	CEP	3914,00		LXX	3782,00
	HER	15567,00		MAC	25334,00
	MAC	63984,00		WHB	7411880,00
	SPR	3794,00			
	WHB	13452600,00			
	WHG	711,00			
NOR	ARU	18043,00	NOR	AJQ	92,00
	ARY	80866,00		ANE	69,00
	CAT	6,00		ARU	25253,00
	CEP	7292,00		ARY	54522,00
	COD	10306,00		CEP	822,00
	DAB	4275,00		COD	4856,00
	DGS	567,00		DAB	1178,00
	ETX	838,00		ETX	1117,00
	FPP	3338093,00		GDG	6219,00
	GAG	828,00		GUG	2135,00
	GDG	2227,00		GUU	18620,00
	GUG	34996,00		HAD	156380,00
	HAD	366397,00		HAF	1615,00
	HER	24106666,00		HER	26963597,00
	HKE	13958,00		HKE	3737,00
	HOM	107959,00		HOM	65771,00
	JAX	3649,00		MAC	160788,00
	LEM	457,00		MYG	60,00
	LIN	22,00		NEP	62,00
	LUM	255,00		NOP	1268223,00
	MAC	175348,00		OPH	82,00
	MON	11,00		PLA	4572,00
	NEP	726,00		POD	1353,00
	NOP	2346765,00		POK	30413,00
	OPH	91,00		POL	1427,00
	PLA	1687,00		PRA	40,00
	POD	65,00		REG	181,00
	POK	60264,00		SAN	11319697,00
	RED	853,00		SPR	50152,00
	REG	828,00		WHB	30882930,00
	SAN	32708789,00		WHG	83257,00
	SPR	271925,00		WIT	345,00
	TUR	5,00			
	WHB	33925243,00			

	WHG	383696,00		
	WIT	3023,00		

Please fill-in the table below (transhipments):

Transhipments in 2020		Transhipments in 2021	
Flag of the third country vessel ¹⁴	Transhipped quantities by species	Flag of the third country vessel ¹⁵	Transhipped quantities by species
None		None	

3.6. Has your country recorded any case of non-compliance by third country fishing vessels with the provisions of Articles 6 (prior notice) and 7 (authorisation) of the IUU Regulation?

Yes No

If yes, please detail the nature of the infringement and the measures taken / sanctions applied:

In 2020: *No prior notification before arrival in harbour. Reports filed resulting in fine*

In 2021: *No prior notification before arrival in port or arrival before the announced ETA. Cases filed. 2 settled with a fine. The rest awaiting further legal processing*

3.7. Since January 2020, has your country denied access to its ports to a fishing vessel for port services, landing or transhipment of fishery products based on the conditions of the IUU Regulation?

Yes No

If yes, please describe the case for each vessel concerned (please include the flag of the vessel and its name) and detail the reasons for the denial:

In 2020:0.....

In 2021:0.....

3.8. Do you have cases of third country fishing vessels landing in your ports with the landed products destined to another Member State? [Article 19.3 of the IUU Regulation]

Yes No

If yes, please indicate the number of landings meant for transit:

In 2020: *No data available.....*

In 2021: *No data available.....*

3.9. In order to identify the vessels to be subject to port inspection, do you use risk assessment criteria [cf. benchmarks for port inspections, Article 4 of Regulation (EC) No1010/2009]?

¹⁴ ISO Alpha-2 country codes.

¹⁵ ISO Alpha-2 country codes.

Yes

No

Not applicable (e.g. in the absence of landings/transhipments from third countries)

If yes, please detail, in order to reach the objective of 5% of landing and transhipment operations as set in Article 9.1 of the IUU Regulation, which benchmarks you use and rank them:

.....

Section 4. Information on catch certification scheme for importation for the purpose of the IUU Regulation¹⁶

- 4.1. How many catch certificates¹⁷ from non-EU countries were submitted to the authorities of your country from 1 January 2020 until 31 December 2021? Please complete the following table by flag State validating the catch certificates, including in cases catch certificates are accompanied by processing statements.¹⁸ Please only provide information on catch certificates accompanying the consignments to be imported in your country; for consignments meant for transit to another Member State (Article 19.1 of the IUU Regulation), please see the question 4.7. of this questionnaire.

¹⁶ Section to be filled-in by all Member States.

¹⁷ Please provide only the number of catch certificates i.e. not the number of all transactions (imports/declarations) where the same certificates have been (re)used.

¹⁸ If catch certificates are submitted only for transshipment purposes, please specify.

Flag State (non-EU)¹⁹	2020	2021
AR	17	56
AU	1	0
CA	940	862
CL	42	49
CN	61	66
CO	33	20
EC	22	11
EG	0	1
FO	1600	1934
GB	--	198
GH	7	8
GL	1931	2238
ID	55	91
IN	93	93
IS	3529	3353
KR	17	21
MA	11	47
MR	0	1
MU	26	51
MV	8	12
NA	6	8
NO	9648	11050
NZ	23	20
PE	8	19
PG	13	1
PH	12	13
PM	9	0
RU	388	410
SB	51	10
SC	127	149
SN	0	2
SV	24	25
TH	46	25
TW	22	41
US	710	637
UY	0	2
VE	0	1

VN	67	46
ZA	0	2
Total	19547	21573

4.2. From the number above, how many recognised RFMO catch certificates (Annex V to Commission Regulation 1010/2009) accompanied consignments destined to your country? *Please detail per RFMO certificate and year.*

RFMO document	2020	2021
<i>ICCAT (electronic)-bluefin tuna catch document</i>	13	8
<i>Dissostichus spp. (CCAMLR)</i>	7	10
<i>CCSBT CDS</i>	0	0
Total	20	18

4.3. How many catch certificates from EU Member States (including from your country) were presented to the authorities of your country from 1 January 2020 until 31 December 2021?

Flag State (EU)	2020	2021
DE	0	66
DK	70	45
EE	47	128
ES	139	120
FR	128	215
GB	213	---
IE	1	3
IT	14	44
LT	20	30
LV	28	44
NL	0	2
PL	5	2
Total	665	699

4.4. From the number above, how many recognised RFMO catch certificates from EU Member States accompanied imports into your country? *Please detail per RFMO certificate and year.*

RFMO document	2020	2021
<i>ICCAT (electronic)-bluefin tuna catch document</i>	0	0

¹⁹ ISO Alpha-2 country codes.

RFMO document	2020	2021
<i>Dissostichus spp.</i> (CCAMLR)	0	0
CCSBT CDS	0	0
Total	0	0

4.5. How many processing statements (Article 14.2) were submitted to the authorities of your country from 1 January 2020 until 31 December 2021? Please provide details per year and per processing country.

Processing non-EU State²⁰	2020	2021
AL	1	1
CA	20	10
CN	87	102
EC	1	0
FO	141	430
GB	0	6
GL	28	3
ID	3	1
IS	140	160
KR	0	12
MA	242	351
MG	6	1
MU	160	215
MY	9	5
NO	323	322
PE	1	1
PG	15	8
SC	210	293
SG	3	8
SV	6	1
TH	193	175
UA	255	505
US	0	8
VN	17	11
Total	1861	2629

²⁰ ISO Alpha-2 country codes.

Section 5. Information on catch certification scheme for exportation²³

5.1. Have you established a procedure for validation of catch certificates for exportation of catches from own vessels in accordance with Article 15?

Yes No

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2020-2021)

If yes, please explain briefly the established procedure and answer questions 5.2 to 5.5.

.....

5.2. Have you validated catch certificates for exportation in 2020-2021 in accordance with Article 15?

Yes No

If yes, how many catch certificates did you validate from 1 January 2020 to 31 December 2021? Please provide details per requesting third country/country of destination in the following table:

Destination State ^{24, 25}	Year	
	2020	2021
<i>No data showing destination state, but the majority are issued for shrimps exported via the Netherlands, and is bought by companies registered in the Netherlands</i>	1424	1701
Total	1424	1701

5.3. Have you established any IT tool to monitor the catch certificates you have validated for fish caught by your own vessels?

Yes No

5.4. Do you monitor that the catches for which you have validated catch certificates actually leave the EU?

Yes No

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2020-2021)

²³ Section to be filled-in by flag Member States.

²⁴ ISO Alpha-2 country codes.

²⁵ It is recommended that the validation of a catch certificate for exportation takes place when the country of destination is known.

5.5. Has your country refused the validation of a catch certificate between 1 January 2020 and 31 December 2021?

Yes No

Not applicable (e.g. in the absence of request for validation of catch certificates for exportation in 2020-2021)

If yes, please detail:

Number (per year):

Reason:

Follow-up:

Section 6. Information on checks and verifications of catch certificates and related documents according to Articles 16.1 and 17.1-5 of IUU Regulation²⁶
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6.1. Has your country established a procedure for checks of catch certificates in accordance with Article 16.1?

Yes No

If yes, please detail:

(only minor changes since the last report from 2020)

The procedure is specified in the guidelines to the IUU control inspectors. All CC are verified by the inspectors. Only exemption is CC's from Norway and Greenland. See below.

The inspectors check as a minimum:

- *the validity of the CC in relevant databases,*
- *the IUU vessel list,*
- *that information given in CC is comparable with information from other relevant documents,*
- *if RFMO covered species the vessel lists (both catching vessel and carrier vessel),*
- *other relevant RFMO rules (closure periods etc.),*
- *if relevant transshipment positions,*
- *traceability for the fish from the catching vessel to the importation into the EU,*
- *physical examination of the consignments if the consignment is also checked by the veterinary border control.*

All CC or Annex IV with errors, doubt about authenticity, from countries with yellow card, etc. will be sent to the SLO for further verification. The SLO assesses whether the CC/Annex IV/ 14(1) should be sent for verification to the flag state/processing country/transit country.

In our guideline, it is specified that 5 to 10% of the catch certificates should be checked more thoroughly.

Denmark considers Norway and Greenland low risk countries and only 25 % of the CC are controlled and verified if necessary. All Norwegian and Greenlandic CC are still recorded in our import excel sheet and CC and Annex IV are filed in our database. If Greenlandic and Norwegian CC are accompanying a processing statement/14.1. document, they will be controlled 100 % based on the risk assessment that processing or storage in another country is a risk parameter. If a re-export CC from Greenland or Norway should be issued, the CC is controlled and verified before the issuing officer signs the CC re-export part.

²⁶ Section to be filled-in by all Member States

6.2. Do you check all catch certificates in light of the information provided in the notifications received from the flag States in accordance with Article 20 (i.e. if all required data are provided in a catch certificate and if these data correspond to the notification from the flag State in question)?

Yes No

If no, please provide the following:

- risks identified in 2020 and 2021 on the basis of risk management used (i.e. for risk-based targeting of catch certificates to be checked); and
- number of catch certificates checked, respectively:

Please fill-in the table below:

Flag State (EU or non-EU) ²⁷	2020		2021	
	Overall number of catch certificates received	Number of catch certificates <u>checked</u>	Overall number of catch certificates received	Number of catch certificates <u>checked</u>
AR	17	17	56	56
AU	1	1	0	0
CA	940	940	862	862
CL	42	42	49	49
CN	61	61	66	66
CO	33	33	20	20
EC	22	22	11	11
EG	0	0	1	1
FO	1600	1600	1934	1934
GB	--	-	198	198
GH	7	7	8	8
GL	1931	639	2238	789
ID	55	55	91	91
IN	93	93	93	93
IS	3529	3529	3353	3353
KR	17	17	21	21

²⁷ ISO Alpha-2 country codes.

MA	11	11	47	47
MR	0	0	1	1
MU	26	26	51	51
MV	8	8	12	12
NA	6	6	8	8
NO	9648	2958	11050	5177
NZ	23	23	20	20
PE	8	8	19	19
PG	13	13	1	1
PH	12	12	13	13
PM	9	9	0	0
RU	388	388	410	410
SB	51	51	10	10
SC	127	127	149	149
SN	0	0	2	2
SV	24	24	25	25
TH	46	46	25	25
TW	22	22	41	41
US	710	710	637	637
UY	0	0	2	2
VE	0	0	1	1
VN	67	67	46	46
ZA	0	0	2	2
Total	19547	11548	21573	14251

What do you check in catch certificates in accordance with Article 16.1? Please describe:

.....See point 6.1. and 6.3.....

6.3. Has your country established a procedure for verification of catch certificates for importation in accordance with Article 17.2?

Yes

No

If yes, please detail:

DVFAs procedure for verification of catch certificates for importation can be found in our administrative guides for the DVFA IUU control.

6.4. Do you verify all catch certificates linked to consignments of fishery products intended for importation into the EU or do you verify only part of the catch certificates by applying (or not) risk management?

- All Only part by applying risk management
 Only part without applying risk management No verifications

6.5. If you apply risk management to verifications (Article 17.3), do you use Union (Article 31 of Commission Regulation (EC) 1010/2009) or national criteria for identification of risks on which verifications shall focus?

- Union criteria National criteria

If you apply Union criteria, please detail the methodology used (and which criteria are used always/regularly, often, occasionally, never):

*DVFA's risk assessment is based on the criteria from article 31 in 1010/2009. Article 31 a), f), h) and j), but also b) g) and n) are important criteria for the Danish import IUU control.
Further splitting of consignments is considered a risk factor.
The risk parameters are provided to the IUU control inspectors via our guideline and newsletters e.g. about new yellow card/red card countries, Mutual assistance etc.*

.....
If you apply national criteria, please detail them and the methodology used:

.....
6.6. Please provide information on the risks identified in 2020 and 2021 respectively (Article 32 of Commission Regulation (EC) 1010/2009) on the basis of the criteria used. Please also provide information on whether all consignments identified as risky have been covered by verifications. If this is not the case, please specify the percentage of consignments identified as risky that have been covered by verifications and the reasons of such situation.

All consignments identified as risky are covered by verifications.

6.7. How many catch certificates have been verified by your administration from 1 January 2020 until 31 December 2021? Please specify, separately for each year:

See table point 6.2. It is difficult to see the exact difference between checks and verification. When the CC are checked there are elements of verification in each case. As control and verification is connected in our IUU registration we have not these data and are therefore not able to differentiate. Thereby the

Flag State (EU or non-EU) ²⁸	2020		2021	
	Overall number of catch certificates received	Number of catch certificates <u>verified</u>	Overall number of catch certificates received	Number of catch certificates <u>verified</u>
<i>Country 1</i>	See table in 6.2			
<i>Country 2</i>				

Please reply to the following questions:

- How many catch certificates have you verified in the context of the application of Article 17.4?
- How many catch certificates have you verified on the basis of risk identified in accordance with Article 17.3?
- How many catch certificates have been verified at random (Article 17.5)?
DVFA: Verification is in our system not specified with reference to article 17.3, 17.4, or 17.5. Approximately 11 % of the CC are taken out for random in-depth checks and further verification.

6.8. Does your country also physically verify the consignments?

- Yes No

If yes, please detail:

Number (per year) and percentage in relation to all verifications made: Number (per year):

2020: *Approximately 1900 CC*

2021: *Approximately 1900 CC*

Method of selection:

DK combines veterinary border control with the IUU control; this means that the physical checks are carried out to ensure both veterinary and IUU requirements. Consignments from Norway, Iceland, Greenland and the Faroe Islands will be physically checked at random in campaigns in cooperation with the customs authorities.

Section 7. Assistance requests to third countries²⁹

7.1. Have you sent assistance requests for verifications under Article 17.6 of the IUU Regulation to other flag States' authorities in 2020-2021?

- Yes No

If yes, how many assistance requests for verifications? *Note: please provide separate data for 2020 and 2021:*

²⁸ ISO Alpha-2 country codes.

²⁹ Section to be filled-in by all Member States

Flag States³⁰	No of assistance requests for verifications 2020	Justification	No of assistance requests for verifications 2021	Justification
<i>AL</i>			1	Annex IV not filled in correctly.
<i>AR</i>			1	Signature of the validating officer different from the signature in SMS database.
<i>CA</i>	3	Species in CC and in HC doesn't match. Licenses and type of processing on board the catching vessel. CC not in the CA database.	2	CN code for squids wrong. CC not in the CA database. CC and annex IV not in the database.
<i>CL</i>	4	Fishing zones missing on CC. Exporters date missing in CC. Type of processing on board the catching vessel. Catching FAO area missing in CC.	3	CC page numbers does not match numbers of pages. CC number not readable. CC with two different CC numbers.
<i>CN</i>	2	Mismatch between weight in processing statement and CC's. Transshipment positions.		
<i>CO</i>	1	Tuna caught i closing period.		
<i>EC</i>	1	Tuna caught i closing period.	2	Access to EC database and confirmation of validity of CC.
<i>EE</i>			1	Stamps on CC different from SMS database.
<i>EG</i>			1	First EG CC received. Confirmation of validity.
<i>ES</i>	4	Signature of validating officer missing. Signature does not match SMS database.		

³⁰ ISO Alpha-2 country codes.

Flag States³⁰	No of assistance requests for verifications 2020	Justification	No of assistance requests for verifications 2021	Justification
		Authority stamp not visible.		
<i>FO</i>			3	CC validated before exporters date. Exporter has changed CC weight and CN code by themselves.
<i>FR</i>	3	Date and signature in transshipment in port after date of validation of CC. Validating officer not in SMS database. CC transport detail annex, two different versions received.	1	Reefer vessel used for transport not on SANTE list.
<i>GB</i>	3	CC not signed by validating authority. Signing officer not in SMS database.	1	Catch certificate validated before landing.
<i>ID</i>			1	Differences in weight in CC and annex to CC.
<i>IE</i>			1	Stamp from validating authority not readable.
<i>IN</i>			1	CC cannot be found in IN database.
<i>IS</i>	2	IS annex IV, Overuse of weight on US CC. Weight in annex IV (LT CC fish sold for both IS and MA)		
<i>KR</i>			2	Verification of 14.1. documents. Confirmation of transshipment positions.
<i>LV</i>	2	CC without stamp from validating authority. Transport details missing.	1	Fishing license expired.
<i>MU</i>			3	CC number not clear. Address of validating authority

Flag States³⁰	No of assistance requests for verifications 2020	Justification	No of assistance requests for verifications 2021	Justification
				different from SMS database.
<i>NL</i>			1	Confirmation of validity. The CC accompanied an AL annex IV not filled in correctly.
<i>PA</i>	1	Transshipment positions for the receiving vessel.		
<i>PE</i>	1	CC number not complete on CC page one.	1	Signature in SMS database does not match signature in CC.
<i>PG</i>	1	stamp from the authority used for both the catch certificate and the processing statement, is different from the stamp notified to the European Commission		
<i>PH</i>	1	Transshipment: Name of receiving vessel not complete.		
<i>PL</i>	1	License expired.	1	License expired.
<i>RU</i>	3	CC different from version in RU database.	7	Exporters date wrong. CC not visible in RU database. Transshipment at sea.
<i>SB</i>	1	CC number not complete.		
<i>SC</i>	3	Annex IV not stamped. Confirmation of annex IV validity due to COVID only received as copy. IOTC license not valid. CC number not correct.	3	Weight in annex IV.- CC received in more than one version. License expired.
<i>TH</i>	3	Stamp from validating authority missing on CC. CC number is		

Flag States ³⁰	No of assistance requests for verifications 2020	Justification	No of assistance requests for verifications 2021	Justification
		missing. Annex IV with typing error in CC number.		
US	3	CC not valid in US database. Wrong CC model.	3	Wrong CN code. Wrong catching vessel in CC. Wrong license number.
VE			1	Validating officer not in the SMS database
VN	2	Signature and authority address do not match SMS database. Signature on annex IV not i SMS database.	3	Transhipment in CC.
ZA			1	Confirmation of validity.
Total	45		46	

7.2. How many assistance requests for verification were not replied to by the other flag States' authorities within the deadline provided in Article 17.6 of the IUU Regulation? In these cases, do you send a reminder to the authorities of the country in question? Could you please specify when the assistance request, despite reminder(s), remained unanswered? What measures have you taken in that case (i.e. denial of importation) [Please provide separate data for 2020 and 2021]

20201 not answered2 late reply.....

20213 not answered.....3 late reply.....

The DVFA sends reminders when answers are not received within the 15 days.

In the cases where no answers are received, importation were allowed as the reasons for the requests where not due to suspicion of IUU fishing but due to minor shortcomings.

7.3. Was the quality of the answers provided overall sufficient to satisfy the assistance request? If no, please explain why the quality was not sufficient, and the measures you have taken in such case(s).
The answers to the requests have been acceptable. The quality is mostly good. Cooperation with most third countries is normally good. But there are some countries that do not reply (CN, VN) or only answers part of the verification request (RU).

7.4. Have you sent assistance requests to other countries than the flag State? If yes, please specify the number, the reasons and the countries concerned, and the quality of the feedback provided.
Requests have been sent to processing states and storage state (AL, CA, CN, ID, IS, KR, SC, TH, VN) Approximately 11 cases. Feed back usually good. But there are difficulties in getting answers from ID, CN and VN.

7.5. Have you been using IT systems developed by third countries allowing for a full or partial verification of catch certificates and how many verifications were made through these systems (approximately)?

Yes. All CC from CA, CN, EC (2021) IN, NZ, RU, USA, and approximately 30% of the CC from GL and NO. If there is an available IT system for verification of CC and annex IV we use it.

Section 8. Information on refusal of importations (Article 18 of the IUU Regulation)³¹

8.1. Has your country refused any imports from 1 January 2020 until 31 December 2021? *Note: please only consider refusals based on the IUU Regulation, not for other reasons e.g. Food Safety legislation, Customs legislation, etc.*

Yes No

If yes, please provide details in the table below:

Reason for refusal of importation	2020		2021	
	Flag State ³²	No.	Flag State ³³	No.
Non-submission of a catch certificate for products to be imported.	MY	1	FO (BFT)	1
The products intended for importation are not the same as those mentioned in the catch certificate.			NO/IS? 14.1 from GB.	1
The catch certificate is not validated by the notified public authority of the flag State				
The catch certificate does not indicate all the required information.				
The importer is not in a position to prove that the fishery products comply with the conditions of Article 14.1 or 2.				
A fishing vessel figuring on the catch certificate as vessel of origin of the catches is included in the EU IUU vessel list or in the IUU vessel lists referred to in Article 30.				
The catch certificate has been validated by the authorities of a flag State identified as a non-cooperating State in accordance with Article 31				
Further to the request for verification (Article 18.2)				

8.2. If the answer to 8.1 is yes, what measures were taken by your authorities in relation to the consignments refused (Article 18.3)?

³¹ Section to be filled-in by all Member States

³² ISO Alpha-2 country codes.

³³ ISO Alpha-2 country codes.

Rejection and possibility to either send back to the country of origin/dispatch or for destruction.....

.....

8.3. In case of refusal of importation, did any operator contest the decision of the authorities of your country?

Yes No

If yes, please detail:

Section 9. Information on trade flows³⁴

Please provide information, based on your statistical data, concerning any important change of trade patterns in imports of fishery products into your country since the last reporting period covering 2018-2019:

No clear changes in trade pattern. GB as third country is the biggest change.

Section 10. Information on mutual assistance³⁵

10.1. Since the last reporting exercise covering the period 2018-2019, how many mutual assistance messages of the Commission (DG MARE B4) has your country replied to?³⁶

Please provide separate data for 2020 and 2021 (if any)

2020.....5.....

2021.....3.....

10.2. Since the last reporting exercise covering the period 2018-2019, has your country sent any mutual assistance message to the Commission/other Member States?

Please provide separate data for 2020 and 2021 (if any)

2020.....0.....

2021.....0.....

³⁴ Section to be filled-in by all Member States

³⁵ Section to be filled-in by all Member States

³⁶ Please provide the number of all replies, regardless of their content i.e. including replies which, for example, only confirmed that the request was not relevant for your country. Then please specify the number of cases where you took action and describe the actions taken.

Section 11. Information on cooperation with third countries³⁷

Apart from assistance requests in the context of verifications of catch certificates and accompanying documents foreseen under the catch certification scheme (Article 17.6), has your country had other exchanges with third countries on issues related to the implementation of the IUU Regulation, such as follow-up of cases concerning nationals, trade flows, operators, private fishing licencing, re-flagging operations, investigations of cases of IUU fishing (Article 42) and investigations of criminal activities associated to IUU fishing?

Yes *No*

If yes, please detail (please provide separate data for 2020 and 2021, if any).

There have been two cases where a Faroe Island exporter amended catch certificates by himself. The Faroe Islands authorities were informed about this.

Section 12. Information on nationals³⁸

For the reporting period in question, a separate call for information on nationals supporting/engaging in IUU fishing activities has been sent to the Single Liaison Offices of Member States and EFCA as part of a study on the implementation of Articles 39 and 40 of the IUU Regulation. As a result, this section of the biennial reports will be replaced for this reporting period by the more specialised call for data for the abovementioned study.

Member States are kindly asked to provide their input to the external consultant in charge of the study. The Commission will evaluate the responses of Member States and include them in its overall assessment of the biennial reports.

Section 13. Infractions (Chapter IX of the IUU Regulation) and Sightings (Chapter X of the IUU Regulation)³⁹

13.1. Has your country detected serious infractions as defined in Article 42 of the IUU Regulation from 1 January 2020 until 31 December 2021?

Yes *No*

If yes, please detail separately for each year the number of serious infractions, nature and sanctions applied:

Flag State of the vessel or nationality of the operator (EU and non-EU) ⁴⁰	Serious infractions detected in 2020:			Serious infractions detected in 2021:		
	Number	Nature	Sanctions applied	Number	Nature	Sanctions applied
<i>Country</i>	See table 3.4			See table 3.4		

³⁷ Section to be filled-in by all Member States

³⁸ Section to be filled-in by all Member States

³⁹ Section to be filled-in by all Member States

⁴⁰ ISO Alpha-2 country codes.

Flag State of the vessel or nationality of the operator (EU and non-EU) ⁴⁰	Serious infringements detected in 2020:			Serious infringements detected in 2021:		
	Number	Nature	Sanctions applied	Number	Nature	Sanctions applied
<i>Total</i>						

13.2. Has your country applied or adapted its levels of administrative sanctions in accordance with Article 44?

Yes *No*

If yes, please detail:

Have you used criminal sanctions? If yes, please specify if in addition to or in replacement of administrative sanctions:

13.3. Has your country issued sighting reports from 1 January 2020 until 31 December 2021?

Yes *No*

If yes, how many sighting reports were issued by your country from 1 January 2020 until 31 December 2021?

Flag State of the sighted vessel (EU and non-EU) ⁴¹	No of sighting reports issued in 2020	No of sighting reports issued in 2021
<i>Country 1</i>	0	0
<i>Total</i>	0	0

13.4. Since the last reporting exercise covering the period 2018-2019, has your country received any sighting reports for vessels flying its own flag from other competent authorities?

Yes *No*

If yes, please detail follow-up (in accordance with Article 50 of the IUU Regulation).

.....

Section 14. General

14.1. During the reporting period 2020-2021, what have been the main difficulties that your country has encountered in implementing the IUU Regulation, including the catch certification scheme?

⁴¹ ISO Alpha-2 country codes.

- Lack of the common EU IT system.
- That IUU control and official control at the border not are performed in the same member state.
- IUU control should be moved to the first border upon entry in to the EU.

Section 15. Any other comment

-Denmark still encourages the Commission to keep working for a more uniform implementation of the IUU Regulation in the Member States. To ensure a level playing field, a DG MARE audit team doing audits in the member states would be an important tool to ensure this.

-The future bi-annual reports should be constructed in a way that the requested data could be extracted from CATCH via QlikView.

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