

Ares(2022) 1499976 – 01.03.2022

**QUESTIONNAIRE to be used for biennial reporting
on the application of the IUU Regulation**

Reporting period 2020-2021 (deadline for submission 30 April 2022)

Member State:	The Netherlands
Organisation:	The Netherlands Food and Consumer Products Safety Authority
Date:	23-03-2022
Name, position and contact details of responsible official:	

May the Commission provide a copy of this questionnaire to other Member States and the European Fisheries Control Agency?	
Yes:	X
Yes except for questions (list):	
No:	

Please check if your notified authorities under the IUU Regulation (Articles 15.2, 17.8 and 21.3) correspond with the latest version of the Official Journal:

[https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52021XC0215\(01\)](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52021XC0215(01))

If not, please provide the updated notification to DG MARE through the Functional mailbox: MARE-NOTIFICATIONS-IUU-1005-2008@ec.europa.eu

Please state your notified authorities under the IUU Regulation in accordance with Article 39.4 (nationals):

Dutch Enterprise Agency (RVO) and Netherlands Food and Consumer Products Safety Authority (NVWA)

Section 1. Information on legal framework¹

Please transmit your national law and/or any administrative guides for the implementation of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation). If available, please provide the link to the official national database.

National law:

Uitvoeringsregeling zeevisserij [[Uitvoeringsregeling zeevisserij](#)]

NVWA site UK:

<https://www.nvwa.nl/documenten/dier/brexit/brexit/publicaties/visualisatie-benodigde-documenten-iuu>

Section 2. Information on administrative organisation²

2.1. Please provide information on your administrative organisation for the implementation of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation), in particular as regards:

- port inspections (Chapter II);
- catch certification scheme (Chapter III).

If different authorities/services are involved in the implementation of the IUU Regulation, please distinguish between:

- *the control of direct landings of third country fishing vessels;*
- *validation of catch certificates upon exports;*
- *checks and verifications of catch certificates for imports under direct landing;*
- *checks and verifications of catch certificates for imports arriving by other means than fishing vessels (e.g. by containers, trucks);*
- *validation and verifications of re-exports.*

In addition (if different authorities/services are involved), please explain and describe:

- the control of direct landings of third country fishing vessels;
 - [NVWA / Single Liaison Office \(SLO\): document checks, Prenotification, CC, transport documentation, Annex IV.](#)
 - [NVWA / Fishery Monitoring Centre \(FMC\): Port State Control \(PSC\) inspections.](#)
 - [Physical inspections in the harbour are carried out by operations NVWA.](#)
- validation of catch certificates upon exports;
 - [NVWA / Single Liaison Office \(SLO\).](#)
- verification of catch certificates for imports under direct landing;
 - [NVWA / Single Liaison Office \(SLO\).](#)
- verification of catch certificates for imports arriving by other means than fishing vessels (e.g. by containers, trucks);
 - [NVWA / Single Liaison Office \(SLO\)](#)

¹ This section 1 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

² This section 2 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

- validation and verifications of re-exports.
 - NVWA / Single Liaison Office (SLO)

a) *vertical co-operation (between local/regional authorities and head-quarter);*

We do not have regional authorities. We have direct communication and consultation between the operations teams and the Expertise team. The Expertise team has periodically consults with the policy teams of the Ministry of Agriculture, Nature and Food Quality.

b) *co-operation between different authorities and allocation of tasks for various authorities in the implementation of the IUU Regulation (Fisheries, Health, Customs, Coast Guard, Navy, etc.).*

In case of Importation NLD / transit to other EU member state (arriving transport other than with a fishing vessel):

Customs is the first responsible organisation for checking all accompanied document (presence and content, basic verification).

Import NLD:

After the CC's and other documents are checked, goods are released by Customs and the documents (CC, transport document, etc.) are sent to the NVWA, Single Liaison Office (SLO), section IUU located in Echt.

In transit to other Member state:

After the CC's and other documents are checked by Customs if they are present, the documents will be returned. Products are then sent to other Member state accompanied with the documents.

In both cases:

If Customs has doubts/questions about a transport, (documentation, physical aspects or in case of a Mutual Assistance, high risk countries) the BCP and also the single liaison office is contacted.

Further in-depth verification is then done by the SLO. When the SLO approves they will inform the BCP. Later BCP will inform Customs so goods can be released, denied or sent to another EU member state.

In case of Importation NLD / transit to other EU member state / transit to third country (direct landing / arriving transport is a fishing vessel):

The SLO carries out all documentation checks(basic verification): CC, transport details, prenotification, landing/transshipment obligation. If necessary, the SLO carries out in-depth verifications according the verification procedure.

If products go into transit, documents stay/return at the products.

If products are Imported into NLD, all related documents are sent to the SLO and are archived.

The FMC carries out the PSC procedure.

If both departments approve the fishing vessel gets permission to land and , if requested, to unload.

Health certificates are checked during the Physical Inspections (landings) by the Border Inspection Post (BCP).

All CC's and other IUU related documents are archived at the NVWA, SLO, section IUU located in Echt.

Export and Re-export:

Export (fresh and frozen fish) and Re-export CC / documents are formatted and validated by the Single Liaison Office SLO.

Export CC/ documents concerning shrimps are issued and handled by our digital system e-CertNL.

Operations carries out the physical inspections on CC (together with Health checks /documentation and export certification)

Monitoring:

Monitoring of NLD Fishing vessels/reefers is carried out by the FMC (VMS, AIS and ERS / crosschecks)

In some cases the FMC is being supported by the Coastguard centre (KWC) where employees from the NVWA are seconded (Den Helder).

2.2. How many officials are involved in the implementation of the catch certification scheme?

Please specify the number of officials expressed in Full Time Equivalent (FTE):

- *for checks and verifications in accordance with Articles 16 and 17;*

5 FTE

- *for validation of catch certificates and re-export certificates in accordance with Articles 15.2 and 21.3.*

2 FTE

2.3. Does your country have freezones/freeports³ in which activities relevant to importation/exportation/processing of fishery products are authorised?

Yes

No

If yes, please provide a list of such zones/ports.

Section 3. Information on access to ports, including for direct landings and transshipments of fishery products, by third country fishing vessels⁴ (and information on related port inspections and confirmed infringements)⁵

3.1. Does your country have designated ports for access to port services or direct landings or transshipment of fishery products by third country fishing vessels (Article 5 of the IUU Regulation⁶)?

Yes

No

If yes, please check if your list of designated ports in accordance with Article 5.3 corresponds to the latest version of the Office Journal:

[EUR-Lex - 52021XC1201\(03\) - EN - EUR-Lex \(europa.eu\)](https://eur-lex.europa.eu/lexuri/ui.do?uri=CELEX:52021XC1201(03):EN:EUR-Lex)

If not, please provide the updated notification to DG MARE through the Functional mailbox: MARE-NOTIFICATIONS-IUU-1005-2008@ec.europa.eu

Updates have been sent to the functional mailbox of DG MARE.

³ https://ec.europa.eu/taxation_customs/business/customs-procedures/what-is-importation/free-zones_en

⁴ Fishing vessels as defined in article 2.5 of the IUU Regulation.

⁵ This section 3 refers to Chapter II (Articles 4 to 11) of the IUU Regulation and is applicable to coastal Member States. Landlocked Member States should not fill in this section.

⁶ Please note that ports designated under Regional Fisheries Management Organisations must also be designated under the IUU Regulation with restrictions if necessary (species, etc.).

3.2. In years 2020 and 2021 respectively, how many times have third country fishing vessels been granted authorisation to access designated ports in your country only for repair/maintenance/supply?⁷

2020: 0

2021: 28

⁷ It is reminded that provisions of Chapter II apply to third country fishing vessels aiming to access EU ports for port services, even in cases they do not carry fishery products on board and therefore no landing or transshipment operation is foreseen. Only designated ports in accordance with Article 5 can be used in this context.

3.3. How many landings and transshipments in designated ports by third country fishing vessels have been recorded by your country between 1 January 2020 until 31 December 2021? How many inspections did your country carry out and how many infringements have been detected?

Please fill-in the table below (2020)

Inspections of third country vessels in Member States ports (2020)								
Type of operation	Vessels	Figures (2020)	Flag of the third country vessel(s) ⁸					Total
			<i>BS</i>	<i>FO</i>	<i>RU</i>	<i>CW</i>	<i>NO</i>	
Landings	Non-EU vessels using MS designated ports	Number of landings	31	38	42	2	91	204
		Number of inspections	0	0	5	0	2	7
		% of inspections / landings	0%	0%	11,90%	0%	2,2%	3,43
		Number of infringements	0	1	1	0	0	2
Transshipments	Non-EU vessels using MS designated ports	Number of transshipments in ports						
		Number of inspections						
		% of inspections / transshipments						
		Number of infringements						

⁸ ISO Alpha-2 country codes

Please fill-in the table below (2021)

		Inspections of third country vessels in Member States ports (2021)								
Type of operation	Vessels	Figures (2021)	Flag of the third country vessel(s) ⁹						Total	
			<i>GB</i>	<i>BS</i>	<i>FO</i>	<i>RU</i>	<i>CW</i>	<i>NO</i>		<i>PA</i>
Landings	Non-EU vessels using MS designated ports	Number of landings	1007	17	44	52	4	92	1	1217
		Number of inspections	32	0	5	8	0	5	0	50
		% of inspections / landings	3,18%	0	11,36%	15,38%	0	5,43%	0	4,11%
		Number of infringements	3	0	0	0	0	1	0	4
Transhipments	Non-EU vessels using MS designated ports	Number of transhipments in ports								
		Number of inspections								
		% of inspections / transhipments								
		Number of infringements								

⁹ ISO Alpha-2 country codes

3.4. From the figures above, in the cases where your country detected infringements concerning third country vessels, please specify for each infringement the flag, the vessel's name, the type of infringement and the measures taken / sanction imposed (Article 11 of the IUU Regulation).

Please fill-in the table below (2020):

Flag of the third country vessel ¹⁰	Name of the third country fishing vessel	Type of infringements	Measures taken
RU		Labeling requirements	Written warning given
FO		No PSc-1 send	Requested follow up flag state

Please fill-in the table below (2021):

Flag of the third country vessel ¹¹	Name of the third country fishing vessel	Type of infringements	Measures taken
BZ		Entering port without permission	Written warning given
NO		Entering port without permission	Written warning given
GB		HOM more than 10% difference	Requested follow up flag state
GB		Entering port without permission	Written warning given
GB		Entering port without permission	Written warning given
GB		Entering port without permission	Written warning given
GB		Entering port without permission	Written warning given
GB		Entering port without permission	Written warning given
GB		Entering port without permission	Written warning given
GB		Entering port without permission	Written warning given

¹⁰ ISO Alpha-2 country codes.LT295

¹¹ ISO Alpha-2 country codes.BM176

GB		Entering port without permission	Written warning given
GB		Entering port without permission	Written warning given
GB		Entering port without permission	Written warning given
GB		Entering not designated port without permission	Written warning given
GB		Entering not designated port without permission	Written warning given
GB		Entering not designated port without permission	Written warning given
GB		Entering port without permission	Written warning given
GB		Moving the fish without replacing catch certificate was presented to SLO and release of the goods was not performed	Written warning given
GB		Sale of the fish without replacing catch certificate	Official report
GB		Entering not designated port without permission	Verbal warning given
GB		Entering port before ETA in prior notification	Verbal warning given
GB		Sale of the fish before release of the goods	Official report

3.5. Please provide:

- the quantities of fish landed by third countries' fishing vessels in your designated ports in 2020 and 2021, respectively (by species and flag of the vessels);
- the quantities of fish transhipped from third countries' fishing donor vessels (to third countries or EU fishing receiving vessels) in your designated ports in 2020 and 2021, respectively (by species and flag of the vessels):

Please fill-in the table below (landings):

Landings in 2020			Landings in 2021		
Flag of the third country vessel ¹²	Landed quantities by species		Flag of the third country vessel ¹³	Landed quantities by species	
BS (Reefer) FO	HER 2,504,150.00 MAC 1,452,700.00		BS (Reefer) CA	ALK 2,405.00 CAP 100,005.00 LBE 13,231.00	
NO	MAC 1,694,200.00		FO	ARG 280.00 MAC 7,176.00 WHB 2,485,644.00	
US	ALK 54,299,729.33 ALP 51,148.00 PCO 596,067.25 PWH 2,468,874.45		IS	ARG 135,940.00 HER 4,898.00 MAC 1,161,407.00 REB 290,135.50	
			RU	ALK 2,948,217.02 PIN 205,979.00	
			US	ALK 40,058,225.58 COD 127,911.99 FLE 8,303.00 PHW 2,148,650.15 PLE 2,736.00 PWH 5,011,949.80 YLF 78,888.00	
CW (Reefer) FO	HER 1,340,360.00 MAC 22,560.00		CW (Reefer) CA	HAL 39,920.00 LBE 2,590.06 REB 2,640.00	
US	ALK 1,861,624.66 NHA 275,179.90		US	ALK 1,932,029.43 COD 41,325.00 PRA 582,361.84 PWH 6,405,227.95	
CY (Reefer) FO	ARG 237,420.00 HER 83,884.00 MAC 233,615.00 WHB 266,336.00		CY	Not applicable	
IS	MAC 1,529,044.00				
LT	REB 314,334.00				

¹² ISO Alpha-2 country codes.

¹³ ISO Alpha-2 country codes.

US	ALK 2,662,533.20 PWH 150,010.90		
		DK (Reefer) NO	MAC 1,370,240.00
EE (Reefer) FO NO	MAC 562,599.00 WHB 1,405,170.00 HER 305,900.00	EE	Not applicable
ES	Not applicable	ES (Reefer) FO	HER 58,800.00
FO	HER 2,952,418.00 WHB 3,339,096.00	FO RU	HER 360,874.00 MAC 4,749,563.00 WHB 3,076,656.00 COD 451,341.00
GB	Not applicable		ANE 175.00 ARU 17,703.20 BIB 84,353.98 BLL 47,199.00 BOC 53,652.00 BRB 34,088.00 BSS 4,413.50 CAA 51.00 COD 25,506.00 COE 2,769.99 CRE 1,900,281.30 CTC 40,778.00 DAB 196,204.99 FLE 8,860.00 GUG 80,295.00 GUR 51,734.99 GUU 315,251.98 HAD 63,277.00 HAL 1,331.00 HER 13,134,959.00 HKE 35,764.00 HOM 7,562,015.00 JOD 2,597.00 LBE 3,335.82 LEM 151,458.48 LIN 813.00 MAC 21,670,505.58 MEG 148.00 MON 3,079.00 MUR 575,407.99 NEP 1,642.00 NOP 10,791.30 PIL 247,683.00 PLE 3,320,455.98 POK 12,597.00 RJC 106,906.00

			RJH 13,348.00 RJM 27,164.00 RJN 3.00 SDS 18,036.99 SMD 24,801.00 SOL 314,277.00 SPR 1,229.00 SQR 412,770.40 SQS 39.00 SQU 182.00 SYC 7,052.00 TUR 233,157.55 WEG 5,276.00 WHB 8,758,332.00 WHE 2,756.00 WHG 450,902.57 WIT 11,134.00 WRF 18.00
NO (Reefer)		NO (Reefer)	
FO	ARG 491,540.00 HER 13,554.00 MAC 3,296,248.00 WHB 2,270,012.00	FO	MAC 1,177,200.00 ARU 444,800.00
GL	MAC 3,265,155.50	NO	BFT 35.00 BLI 27,369.00 CAA 160,499.79 CAP 1,434,260.00 COD 20,911,816.54 CRQ 849,893.53 DAB 254.00 FLE 31.00 GHL 379,063.75 HAD 10,739,120.12 HAL 33,763.80 HER 24,208,079.20 HOM 2,261,124.00 JCM 1,192.00 KCD 77,572.69 LEM 115.00 LIN 293,938.89 LUM 80.00 MAC 34,654,475.61 PLA 659.00 PLE 1,030.00 POK 4,678,832.85 POL 68,252.56 PRA 413,828.89 REB 1,053,644.75 USK 2,788.00
IS	MAC 695,650.00		
NO	ARU 567,580.00 CAA 102,468.77 COD 27,194,511.95 CRE 360.00 CRQ 413,030.03 GHL 261,109.45 HAD 16,185,652.93 HAL 52,271.95 HER 20,455,026.93 HKE 32,284.41 HOM 1,670,485.00 KCD 29,879.00 LIN 6,665.00 LUM 5,606.73 MAC 17,302,157.00 PLE 1,569.00 POK 10,781,076.83 POL 4,448.00 PRA 1,458,148.45 RAU 7,554.08 REB 1,196,804.35 SAN 55,500.00 SPR 4,060.00 USK 4,225.00	RU	COD 22,078,579.02 HAD 2,240,709.40 HAL 20.00 MAC 202,000.00 PLE 1,453,855.05 POK 70,606.08 REB 152,783.30 RJB 3,964.48
RU	COD 30,860,452.81 CRQ 1,390,775.48 DAB 1,202.10		

	GHL 36.00 HAD 11,343,227.29 HAL 12,960.93 HER 180,000.00 KCD 633,618.90 MAC 44,200.00 PLE 2,408,282.43 POK 76,879.29 PRA 94,950.00 REB 246,085.00		
PA	Not applicable	PA (Reefer) RU	ALK 6,223,087.54 COD 21,600.00 US ALK 2,959,870.70 COD 785.75
RU (Reefer) RU	COD 67,265,526.79 CRQ 9,826,164.09 GHL 107,010.00 HAD 19,041,240.37 KCD 4,108,502.60 PLE 344,480.43 POK 2,780.00 PRA 675.00	RU (Reefer) RU	COD 58,865,409.66 CRQ 10,458,411.83 HAD 17,132,954.11 HAL 497,631.26 KCD 8,319,749.95 PLE 207,398.00
US	Not applicable	US (Reefer) US	ALK 7,846,898.16 COD 1,279.65 PWH 4,691,005.85 SBL 7,835.05

Please fill-in the table below (transhipments):

Transhipments in 2020		Transhipments in 2021	
Flag of the third country vessel ¹⁴	Transhipped quantities by species	Flag of the third country vessel ¹⁵	Transhipped quantities by species
Not applicable	Not applicable	Not applicable	Not applicable

3.6. Has your country recorded any case of non-compliance by third country fishing vessels with the provisions of Articles 6 (prior notice) and 7 (authorisation) of the IUU Regulation?

Yes

No

If yes, please detail the nature of the infringement and the measures taken / sanctions applied:

¹⁴ ISO Alpha-2 country codes.

¹⁵ ISO Alpha-2 country codes.

In 2020: Not applicable

In 2021: Entering port without permission, Entering non designated ports, Entering port before communicated ETA, See Q 3.4

Verbal warnings: 2

Written warnings: 17

3.7. Since January 2020, has your country denied access to its ports to a fishing vessel for port services, landing or transhipment of fishery products based on the conditions of the IUU Regulation?

Yes No

If yes, please describe the case for each vessel concerned (please include the flag of the vessel and its name) and detail the reasons for the denial:

In 2020:

In 2021:

3.8. Do you have cases of third country fishing vessels landing in your ports with the landed products destined to another Member State? [Article 19.3 of the IUU Regulation]

Yes No

If yes, please indicate the number of landings meant for transit:

In 2020:

In 2021:

In the Netherlands all landings of Fishing vessels go into transit. Later a decision is made by the company what the final destination will be of the products. Therefore all CC's and other documentation are checked (basic verification) regardless off the final destination.

3.9. In order to identify the vessels to be subject to port inspection, do you use risk assessment criteria [cf. benchmarks for port inspections, Article 4 of Regulation (EC) No1010/2009]?

Yes No

Not applicable (e.g. in the absence of landings/transhipments from third countries)

If yes, please detail, in order to reach the objective of 5% of landing and transhipment operations as set in Article 9.1 of the IUU Regulation, which benchmarks you use and rank them:

1. (Pre)Identified third countries
2. Total landings per harbor where harbors with more landings are automatically identified as a higher risk
3. Article 4.b : the fishing vessel is suspected of not implementing applicable provisions on VMS according to Chapter IV of Commission Regulation (EC) No 2244/2003 of 18 December 2003 laying down detailed provisions regarding satellite-based Vessel Monitoring Systems

4. Article 4.d : the fishing vessel has not been controlled by the port Member State in the last 6 months;
5. Article 4.n : prior notification, required under Article 6 of Regulation (EC) No 1005/2008, not transmitted at the proper time or information incomplete;
6. Article 4.o : inconsistencies between catch data declared by the operator and other information available to the competent authority;
7. Article 4.p : vessel or vessel owner suspected of being or having been involved in IUU fishing activities
8. Article 4.r : flag State not notified according to Article 20 of Regulation (EC) No 1005/2008 or information available on possible irregularities in the validation of catch certificates by a given flag State (e.g. stamps or validation seal from a competent authority lost, stolen or forged);

Section 4. Information on catch certification scheme for importation for the purpose of the IUU Regulation¹⁶

4.1. How many catch certificates¹⁷ from non-EU countries were submitted to the authorities of your country from 1 January 2020 until 31 December 2021? Please complete the following table by flag State validating the catch certificates, including in cases catch certificates are accompanied by processing statements.^{18*} Please only provide information on catch certificates accompanying the consignments to be imported in your country; for consignments meant for transit to another Member State (Article 19.1 of the IUU Regulation), please see the question 4.7. of this questionnaire.

Flag State (non-EU) ¹⁹	2020	2021
AR	53	81
AU	15	25
CA	205	311
CL	90	29
CN	465	558
CU	9	11
DZ	2	0
EC	816	694
FJ	10	5
FK	21	4
FO	136	64
GB	0	1461
GH	97	72
GL	48	23
GM	10	8

¹⁶ Section to be filled-in by all Member States.

¹⁷ Please provide only the number of catch certificates i.e. not the number of all transactions (imports/declarations) where the same certificates have been (re)used.

¹⁸ If catch certificates are submitted only for transshipment purposes, please specify.

¹⁹ ISO Alpha-2 country codes.

Flag State (non-EU)¹⁹	2020	2021
GY	47	45
ID	337	348
IN	323	422
IS	1721	1812
JM	13	0
JP	51	88
KE	9	7
KR	40	47
LK	1557	2167
LY	4	0
MA	479	392
MG	4	8
MM	6	4
MR	0	1
MU	58	116
MV	312	332
MX	6	2
NA	133	203
NI	15	0
NG	107	116
NO	1344	1490
NZ	22	7
OM	0	6
PA	1	0
PE	139	46
PG	71	87
PH	899	1398
PK	1	0
RU	1249	1213
SC	86	105
SH	2	2
SN	186	234
SR	469	254
SV	12	19
TH	361	387
TN	9	2
TR	3	2
TW	6	9
US	794	875
UY	3	1
VN	244	170
YE	1	0
ZA	284	163
Total	13385	15926

* See answers Q4.5 for catch certificates accompanying third country processing statements

4.2. From the number above, how many recognised RFMO catch certificates (Annex V to Commission Regulation 1010/2009) accompanied consignments destined to your country? Please detail per RFMO certificate and year.

RFMO document	2020	2021
ICCAT (electronic)-bluefin tuna catch document	26	13
Dissostichus spp. (CCAMLR)	18	17
CCSBT CDS	0	0
ICCAT Swordfish Statistical Document	369	361
ICCAT Statistical Document bigeye tuna	2	15
Total	415	406

4.3. How many catch certificates from EU Member States (including from your country) were presented to the authorities of your country from 1 January 2020 until 31 December 2021?*

Flag State (EU)	2020	2021
HR	1	0
FR	2	2
FR-ES	60	90
IT	21	15
PT	28	12
ES	10	1
ES-FR	151	150
Total	273	270

* See answers Q4.5 for EU catch certificates accompanying third country processing statements.

4.4. From the number above, how many recognised RFMO catch certificates from EU Member States accompanied imports into your country? Please detail per RFMO certificate and year.

RFMO document	2020	2021
ICCAT (electronic)-bluefin tuna catch document	270	268
Dissostichus spp. (CCAMLR)	3	1
CCSBT CDS	0	0
Total	273	269

4.5. How many processing statements (Article 14.2) were submitted to the authorities of your country from 1 January 2020 until 31 December 2021? Please provide details per year and per processing country.

Processing non-EU State²⁰	2020	2021
CA	5 With CC's from: US 8	5 With CC's from: US 7 ES 1 RU 1
CI		1 With CC's from: BZ 3
CN	1383 With CC's from: AR 33 CA 27 CL 9 DK 2 GB 9 EE 2 FR 10 GL 18 IS 6 IN 2 NL 5 NZ 14 NO 111 PE 30 PT 3 RU 600 ES 1 US 801 UY 2 VN 4	1149 With CC's from: AR 63 CA 49 DE 1 FO 1 GB 1 GL 30 IS 2 IN 2 NZ 8 NO 54 PE 32 PT 4 RU 501 SG 1 US 518 UY 1 VN 3
EC	556 With CC's from: SV 38 NI 33 NZ 103 PA 689 ES 473	360 With CC's from: EC 2 FR 16 IT 2 SV 15 NI 11 NZ 78 PA 475 PE 1 ES 253
SV	2 With CC's from: EC 1 PA 2	2 With CC's from: EC 1 PA 2

²⁰ ISO Alpha-2 country codes.

Processing non-EU State ²⁰	2020	2021
FO	18 With CC's from: GL 8 NO 16	6 With CC's from: GB 1 GL 5 NO 6
PH	120 With CC's from: ID 300 PG 2	1 With CC's from: ID 1
GB	Not applicable	4 With CC's from: DK 1 SE 3
GH	12 With CC's from: FR 29 ES 17	9 With CC's from: FR 7 ES 16
GT	3 With CC's from: AR 10	5 With CC's from: AR 24
GY	4 With CC's from: AR 4	
IS	24 With CC's from: CA 1 GL 3 GB 45	
IN	1 With CC's from: US 1	7 With CC's from: US 1 NL 7
ID	9	12

Processing non-EU State ²⁰	2020	2021
	With CC's from: AR 1 CA 7 NL 5 UY 1	With CC's from: CA 15 CL 1 NL 8
IC	1 With CC's from: CW 1	
JP	11 With CC's from: US 10	11 With CC's from: US 11
KR	50 With CC's from: NO 9 PE 3 TW 5 US 34	64 With CC's from: GB 6 IE 6 IN 9 NO 6 PE 1 TW 8 US 28
MG	25 With CC's from: FR 4 SC 24 ES 4	28 With CC's from: FR 13 IT 1 SC 22
MA	19 With CC's from: DK 5 DE 39 IS 1 NL 44 GB 12	11 With CC's from: DK 6 DE 24 NL 18 GB 5
MY		4 With CC's from: IN 3 MY 1
MR		1 With CC's from: ES 1 SC 2

Processing non-EU State²⁰	2020	2021
MU	168 With CC's from: SV 3 KR 54 ID 6 ES 478 SC 614 FR 315 IT 2 NZ 31 SB 2	186 With CC's from: CV 5 EC 1 ES 582 FR 286 ID 3 KR 17 NZ 4 PH 1 SA 1 SC 739 SV 1 SY 3 ZA 1
NA	38 With CC's from: ZA 227	34 With CC's from: ZA 97
NO	39 With CC's from: DK 8 IE 6 RU 5 UK 41	29 With CC's from: DK 2 FO 4 FR 4 IS 4 LT 1 NL 2 RU 6 UK 22
PG	132 With CC's from: KR 33 KI 14 PH 238 TW 6 US 36	134 With CC's from: KR 4 PH 370 TW 14 US 2
PE	33 With CC's from: EC 37	12 With CC's from: EC 12 AR 2

Processing non-EU State²⁰	2020	2021
SC	102 With CC's from: FR 335 IT 24 MU 83 NZ 10 NI 14 PG 16 PH 1 ES 230 TW 17	94 With CC's from: ES 130 FR 221 IT 56 KR 14 MU 42 NZ 8 NI 12 PG 1 TW 13
SG	40 With CC's from: VN 62	31 With CC's from: VN 39 IN 18
SR	12 With CC's from: PA 16	5 With CC's from: PA 5
TW	3 With CC's from: CN 1 PE 2	10 With CC's from: CH 1 CN 4 PE 3 US 2 VN 1
TH	435 With CC's from: CA 2 FR 1 GL 1 PH 1 IN 76 ID 50 KR 51 MV 146 MA 13 NZ 1 PG 18 RU 6 SB 31 TW 63	330 With CC's from: AR 1 FR 2 GB 7 GL 1 ID 11 IN 77 KR 64 MA 11 MV 90 NO 1 OM 1 PG 4 RU 3 SB 4

Processing non-EU State ²⁰	2020	2021
	US 43 VN 179	TW 27 US 55 VN 112
TR	2 With CC's from: ES 2	
US	3 With CC's from: CA 3	
VN	74 With CC's from: CL 3 CN 23 FR 2 GH 1 IS 1 IN 1 ID 5 KR 5 MV 2 NO 5 PH 2 RU 104 TW 5 GB 1 US 18	94 With CC's from: CN 18 FR 4 ID 60 IN 2 IS 1 KR 30 MA 1 MV 1 NO 32 PH 4 RU 12 SB 2 TW 13
Total	3285 (with 7456 CC's)	2641 (with 5818 CC's)

4.6. Please indicate if you retain and record the information contained in processing statements referring to the corresponding catch certificates (quantity management):

Yes No

Not applicable (e.g. in the absence of processing statements received from non-EU countries in 2020-2021)

4.7. Do you have cases where third country fishery products arriving to your country (entry point) were destined to another Member State? [Article 19.1 of the IUU Regulation]

Yes No

If yes, please indicate the number consignments meant for transit:

In 2020:

In 2021:

In the Netherlands a large amount of fishery products go into transit. Later a decision is made by the company what the final destination will be of the products. Therefore all CC's and other documentation are checked (basic verification) regardless off the final destination.

4.8. Has your country received requests to authorise APEOs²¹ in 2020-2021?

Yes No

If yes, how many requests has your country received and how many APEOs have been authorised?

.....

4.9. Has your country adopted administrative rules referring to the management and control of APEOs in 2020-2021?

Yes No

Not applicable (e.g. absence of APEO request)

If yes, please detail:

.....

4.10. Has your country validated re-export certificates for products imported from 1 January 2020 until 31 December 2021?

Yes No

If yes, how many re-export certificates? Please detail per year and, if possible, per destination country:

Destination country (non-EU)²²	2020	2021
CN	8	
EC		8
HK	12	12
UAE	3	3
GB		87
VN		2
Total	23	112

4.11. Does your country monitor if the catches for which your country has validated a re-export certificate actually leave the EU?

Yes No

Not applicable (e.g. in the absence of validation of re-export certificates in 2020-2021)

²¹ Approved Economic Operators – IUU Regulation, Article 16 and Implementing Regulation (EC) 1010/2009, Chapter II

²² ISO Alpha-2 country codes.

If yes, please detail:

Customs have (administrative) internal procedures for export and re-export. Each export and re-export needs to be accompanied by a health certificate (except United Kingdom). Customs can check if each health certificate actually was exported.

4.12. Has your country established any IT tools to monitor the catch certificates and processing statements accompanying imports?

Yes No

If yes, does it include a module for re-exportation of imported catches?

Yes No

4.13. Does your country implement the provisions regarding transit under Article 19.2 at the point of entry or the place of destination?

At the point of entry At the place of destination Not implemented

Section 5. Information on catch certification scheme for exportation²³

5.1. Have you established a procedure for validation of catch certificates for exportation of catches from own vessels in accordance with Article 15?

Yes No

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2020-2021)

If yes, please explain briefly the established procedure and answer questions 5.2 to 5.5.

Yes, there are two procedures for validation of catch certificates for exportation in force in NL. One specifically for shrimps (DZH-31-WI_IUU Export van door NL vaartuigen gevangen gamalen V03) and one for other fish species (DZH-24 WI_Export van door NL vaartuigen gevangen visserijproducten V02). There is a separate procedure for shrimps because these catch certificates are electronically linked to the health certificates.

5.2. Have you validated catch certificates for exportation in 2020-2021 in accordance with Article 15?

Yes No

If yes, how many catch certificates did you validate from 1 January 2020 to 31 December 2021? Please provide details per requesting third country/country of destination in the following table:

Destination State ²⁴	Year	
	2020	2021
AL	8	
CI		1

²³ Section to be filled-in by flag Member States.

²⁴ ISO Alpha-2 country codes.

Destination State ²⁴	Year	
	2020	2021
CN	30	12
DE		1
IN	3	
ID	26	18
MY	6	
MA	44	45
MA (Shrimps)	848	855
NO	3	3
PL		29
PT	7	17
ZA	4	14
TH	18	20
TN		2
UA		1
GB		406
Total	149	569

5.3. Have you established any IT tool to monitor the catch certificates you have validated for fish caught by your own vessels?

Yes No

5.4. Do you monitor that the catches for which you have validated catch certificates actually leave the EU?

Yes No

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2020-2021)

5.5. Has your country refused the validation of a catch certificate between 1 January 2020 and 31 December 2021?

Yes No

Not applicable (e.g. in the absence of request for validation of catch certificates for exportation in 2020-2021)

If yes, please detail:

Number (per year):

Reason:

Follow-up:

Section 6. Information on checks and verifications of catch certificates and related documents according to Articles 16.1 and 17.1-5 of IUU Regulation²⁵

6.1. Has your country established a procedure for checks of catch certificates in accordance with Article 16.1?

Yes *No*

If yes, please detail:

The Dutch Customs carry out an initial document-based verification on all imports. This is documented in procedure “DZH-26 WI_ Verificatie vangstcertificaten IUU”

6.2. Do you check all catch certificates in light of the information provided in the notifications received from the flag States in accordance with Article 20 (i.e. if all required data are provided in a catch certificate and if these data correspond to the notification from the flag State in question)?

Yes *No*

If no, please provide the following:

- risks identified in 2020 and 2021 on the basis of risk management used (i.e. for risk-based targeting of catch certificates to be checked); and
- number of catch certificates checked, respectively:

Please fill-in the table below:

Flag State (EU or non-EU) ²⁶	2020		2021	
	Overall number of catch certificates received	Number of catch certificates <u>checked</u>	Overall number of catch certificates received	Number of catch certificates <u>checked</u>
<i>RU</i>	1249	1249	1213	1213
<i>VN</i>	244	244	170	56
<i>GY</i>	47	7	45	0
<i>EC</i>	816	816	1022	1022
Total	2356	2316	2450	2291

For (Pre)Identified third countries and transhipments all catch certificates are handed over to and verified by the Single Liaison Office for IUU.

What do you check in catch certificates in accordance with Article 16.1? Please describe:

²⁵ Section to be filled-in by all Member States

²⁶ ISO Alpha-2 country codes.

In case of a database, we check if the catch certificates correspond to the catch certificates in the database of the third country. We check if the Flag State notifications correspond to the data in the catch certificates.

6.3. Has your country established a procedure for verification of catch certificates for importation in accordance with Article 17.2?

Yes *No*

If yes, please detail:

The Dutch Customs carry out an initial document-based verification on all imports. The Dutch Single Liaison Office carries out risk based and random in-depth verifications. This is documented in procedure “DZH-26 WI_ Verificatie vangstcertificaten IUU”

6.4. Do you verify all catch certificates linked to consignments of fishery products intended for importation into the EU or do you verify only part of the catch certificates by applying (or not) risk management?

All *Only part by applying risk management*
 Only part without applying risk management *No verifications*

6.5. If you apply risk management to verifications (Article 17.3), do you use Union (Article 31 of Commission Regulation (EC) 1010/2009) or national criteria for identification of risks on which verifications shall focus?

Union criteria *National criteria*

If you apply Union criteria, please detail the methodology used (and which criteria are used always/regularly, often, occasionally, never):

- (Pre)Identified third countries / always
- Mutual Assistance / always

If you apply national criteria, please detail them and the methodology used:

- Specific species (BET, BFT, SBFT, SWO, TOP, TOA) – All catch certificates/documents are checked for completeness by Customs.
- Transshipments – All catch certificates with transshipments are handed over to and verified by the Single Liaison Office for IUU
- Random verifications – We use a Excel sheet with a randomization function to select a random country. Only used when there are no other requests.

6.6. Please provide information on the risks identified in 2020 and 2021 respectively (Article 32 of Commission Regulation (EC) 1010/2009) on the basis of the criteria used. Please also provide information on whether all consignments identified as risky have been covered by verifications. If this is not the case, please specify the percentage of consignments identified as risky that have been covered by verifications and the reasons of such situation.

All consignments that were identified as risky, based on the Union and national criteria, have been covered by verifications.

6.7. How many catch certificates have been verified by your administration from 1 January 2020 until 31 December 2021? Please specify, separately for each year:

Flag State (EU or non-EU) ²⁷	2020		2021	
	Overall number of catch certificates received	Number of catch certificates <u>checked</u>	Overall number of catch certificates received	Number of catch certificates <u>checked</u>
<i>RU</i>	1249	1249	1213	1213
<i>VN</i>	244	244	170	56
<i>GY</i>	47	7	45	0
<i>EC</i>	816	816	1022	1022
Total	2356	2316	2450	2291

Please reply to the following questions:

- How many catch certificates have you verified in the context of the application of Article 17.4?
0
- How many catch certificates have you verified on the basis of risk identified in accordance with Article 17.3?
2020: 2316
2021: 2291
- How many catch certificates have been verified at random (Article 17.5)?
0

6.8. Does your country also physically verify the consignments?

Yes *No*

If yes, please detail:

Number (per year) and percentage in relation to all verifications made: 20%

Method of selection: Randomly and, if necessary, after a non-compliant document check or based on MA request by another EU Member State or DG MARE.

Section 7. Assistance requests to third countries²⁸

7.1. Have you sent assistance requests for verifications under Article 17.6 of the IUU Regulation to other flag States' authorities in 2020-2021?

²⁷ ISO Alpha-2 country codes.

²⁸ Section to be filled-in by all Member States

Yes No

If yes, how many assistance requests for verifications? *Note: please provide separate data for 2020 and 2021:*

Flag States ²⁹	No of requests for verifications 2020	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)-	No of requests for verifications 2021	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)
AU	1	Too few observers	0	-
CA	2	CN -code catch and health certificate don't correspond, missing NMC	1	Authenticity of Canadian CC behind Indonesia processing statements
CL	1	Weight difference CC/processing statement, container number missing	0	-
CN	8	Transhipments not confirmed, falsified Russian CC with Chines processing statement	5	Transhipments not confirmed
EC	5	Fishing licence validity	3	Invalid fishing licences validity
SV	0	-	1	Invalid fishing licences validity
GM	1	Stamp validating authority missing	1	Weight not divided over the different species
GH	0	-	1	Invalid fishing licence validity
IN	1	Unnecessary Re-export certificate attached	1	Missing flag stat authority validation
ID	4	Wrong CC behind processing statement, catch period on CC, invalid fishing licence, unnecessary processing statement attached to CC	2	Invalid fishing licence validity, two officially validated identical CC's
JP	0	-	1	Adjustment of the estimated weight
KI	1	Scan quality of CC	0	-
MG	1	Multiple CC's and processing statements which contain different kinds of missing information and deviations	0	-

²⁹ ISO Alpha-2 country codes.

Flag States²⁹	No of requests for verifications 2020	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)-	No of requests for verifications 2021	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)
MV	1	Triple identical CC's received	0	-
MA	1	Captain statements missing on CC's	0	-
NA	2	Fishing licences validity	4	Multiple officially validated identical CC's, wrong weight Annex IV and CC's, empty column Catch processed on processing statement
NI	1	Future dates exporter and authority validation		
NG	0	-	1	Invalid fishing licence validity
PA	3	Transhipments not confirmed, wrong Imo number	1	Transhipments not confirmed
PG	1	Vessel listed under wrong country	1	Invalid fishing licence validity
PE	0	-	1	Landed weight on the processing statement do not match the weights of the vessels and their CC's
PH	0	-	2	Missing entered weight in the verified weight landed box
RU	10	Transhipments not confirmed, missing stamp authority	7	Invalid fishing licence validity, validity CC, lower estimated live weight than the estimated weight to be landed
SC	1	Overused landed weight	1	Invalid fishing licence validity
LK	1	Port of Export missing on ICCAT swordfish statistical documents	2	Photocopy of the ICCAT statistical document, two officially validated identical CC's
SR	3	Fishing licence validity, weight discrepancy	4	Catch area fish, difference in weight, missing catch dates,

Flag States ²⁹	No of requests for verifications 2020	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)-	No of requests for verifications 2021	Justifications (Articles 17.4 and 17.6 of the IUU Regulation)
TH	1	No landings on CC		
GB	0	-	1	Incorrect document numbers of the CC's on the processing statements
UY	1	Missing signature authority, different CC then notified in the SMS database	0	-
US	1	Point of Export in Canada, no NMC submitted	2	Wrong CN codes, CC with different document numbers on pages
VN	2	Fishing licence invalid, document number missing on multiple pages CC	4	Different CN codes on CC and HC, Transhipment not confirmed, processing statement not signed by authority, discrepancy signature authority
Total	54		47	

7.2. How many assistance requests for verification were not replied to by the other flag States' authorities within the deadline provided in Article 17.6 of the IUU Regulation? In these cases, do you send a reminder to the authorities of the country in question? Could you please specify when the assistance request, despite reminder(s), remained unanswered? What measures have you taken in that case (i.e. denial of importation) [Please provide separate data for 2020 and 2021]

2020 1, several reminders sent to Madagascar, DG Mare was notified about this situation where we did not get a response on our emails. Importation had already taken place, we had some questions about minor administrative errors on several catch certificates and Annex IV's.

2021 0

7.3. Was the quality of the answers provided overall sufficient to satisfy the assistance request? If no, please explain why the quality was not sufficient, and the measures you have taken in such case(s).

Yes

7.4. Have you sent assistance requests to other countries than the flag State? If yes, please specify the number, the reasons and the countries concerned, and the quality of the feedback provided.

No

7.5. Have you been using IT systems developed by third countries allowing for a full or partial verification of catch certificates and how many verifications were made through these systems (approximately)?

Yes

Country	2020	2021
CA	10-20	10-20
CN	Not operational	40-50
EC	140-150	200
GL	<10	<10
IS	10-20	10-20
IN	10-20	10-20
MV	<10	<10
MX	<10	<10
NO	<10	<10
RU	600	800
TR	<10	<10
US	10-20	<10

Section 8. Information on refusal of importations (Article 18 of the IUU Regulation)³⁰

8.1. Has your country refused any imports from 1 January 2020 until 31 December 2021? *Note: please only consider refusals based on the IUU Regulation, not for other reasons e.g. Food Safety legislation, Customs legislation, etc.*

Yes No

If yes, please provide details in the table below:

Reason for refusal of importation	2020		2021	
	Flag State ³¹	No.	Flag State ³²	No.
Non-submission of a catch certificate for products to be imported.				
The products intended for importation are not the same as those mentioned in the catch certificate.	SC	1		
The catch certificate is not validated by the notified public authority of the flag State				
The catch certificate does not indicate all the required information.	EC AU	8 1		
The importer is not in a position to prove that the fishery products comply with the conditions of Article 14.1 or 2.				
A fishing vessel figuring on the catch certificate as vessel of origin of the catches is included in the EU IUU vessel list or in the IUU vessel lists referred to in Article 30.				
The catch certificate has been validated by the authorities of a flag State identified as a non-cooperating State in accordance with Article 31				
Further to the request for verification (Article 18.2)				

8.2. If the answer to 8.1 is yes, what measures were taken by your authorities in relation to the consignments refused (Article 18.3)?

³⁰ Section to be filled-in by all Member States

³¹ ISO Alpha-2 country codes.

³² ISO Alpha-2 country codes.

Returned to the third country.

8.3. In case of refusal of importation, did any operator contest the decision of the authorities of your country?

Yes No

If yes, please detail:

Section 9. Information on trade flows³³

Please provide information, based on your statistical data, concerning any important change of trade patterns in imports of fishery products into your country since the last reporting period covering 2018-2019:

Increase on IUU documents for imports from the United Kingdom and exports to the United Kingdom.

Section 10. Information on mutual assistance³⁴

10.1. Since the last reporting exercise covering the period 2018-2019, how many mutual assistance messages of the Commission (DG MARE B4) has your country replied to?³⁵

Please provide separate data for 2020 and 2021 (if any)

2020 8

2021 3

10.2. Since the last reporting exercise covering the period 2018-2019, has your country sent any mutual assistance message to the Commission/other Member States?

Please provide separate data for 2020 and 2021 (if any)

2020 1

2021 5

³³ Section to be filled-in by all Member States

³⁴ Section to be filled-in by all Member States

³⁵ Please provide the number of all replies, regardless of their content i.e. including replies which, for example, only confirmed that the request was not relevant for your country. Then please specify the number of cases where you took action and describe the actions taken.

Flag State of the vessel or nationality of the operator (EU and non-EU) ³⁹	Serious infringements detected in 2020:			Serious infringements detected in 2021:		
	Number	Nature	Sanctions applied	Number	Nature	Sanctions applied
...						
<i>Country x</i>						
<i>Total</i>						

13.2. Has your country applied or adapted its levels of administrative sanctions in accordance with Article 44?

Yes No

If yes, please detail:

Have you used criminal sanctions? If yes, please specify if in addition to or in replacement of administrative sanctions:

13.3. Has your country issued sighting reports from 1 January 2020 until 31 December 2021?

Yes No

If yes, how many sighting reports were issued by your country from 1 January 2020 until 31 December 2021?

Flag State of the sighted vessel (EU and non-EU) ⁴⁰	No of sighting reports issued in 2020	No of sighting reports issued in 2021
<i>IS</i>		17
<i>RU</i>		19
<i>FO</i>		1
<i>Total</i>	0	37

13.4. Since the last reporting exercise covering the period 2018-2019, has your country received any sighting reports for vessels flying its own flag from other competent authorities?

Yes No

If yes, please detail follow-up (in accordance with Article 50 of the IUU Regulation).

⁴⁰ ISO Alpha-2 country codes.

.....

Section 14. General

14.1. During the reporting period 2020-2021, what have been the main difficulties that your country has encountered in implementing the IUU Regulation, including the catch certification scheme?

The implementation of Article 39 of the IUU Regulation about Nationals remains a complex issue.

Section 15. Any other comment

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