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**QUESTIONNAIRE to be used for biennial reporting
on the application of the IUU Regulation**

Reporting period 2020-2021 (deadline for submission 30 April 2022)

Member State:	PORTUGAL
Organisation:	Directorate-General of Natural Resources, Security and Maritime Services (<i>Direção Geral de Recursos Naturais, Segurança e Serviços Marítimos- DGRM</i>)
Date:	30APRIL2022
Name, position and contact details of responsible official:	<p>José Carlos Simão - General Director</p> <p>Adress: Avenida Brasilia, 1449-030 Lisboa, Portugal</p> <p>Phone: [REDACTED]</p> <p>Fax: [REDACTED]</p> <p>dgrm@dgrm.mm.gov.pt anp@dgrm.mm.gov.pt www.dgrm.mm.gov.pt</p> <p>Directorate of Inspection, Monitoring and Control of Maritime Activities (<i>Direção de Serviços de Inspeção, Monitorização e Controlo das Atividades Marítimas –DSMC</i>)</p> <p>[REDACTED] @dgrm.mm.gov.pt</p> <p>Unit/Division of Control of Maritime Activities (<i>Divisão de Controlo das Atividades Marítimas – DCAM</i>)</p> <p>[REDACTED] @dgrm.mm.gov.pt</p> <p>inn-pt@dgrm.mm.gov.pt</p> <p>Phone: [REDACTED]</p> <p>Fax: [REDACTED]</p>

May the Commission provide a copy of this questionnaire to other Member States and the European Fisheries Control Agency?	
Yes:	X
Yes except for questions (list):	
No:	

Please check if your notified authorities under the IUU Regulation (Articles 15.2, 17.8 and 21.3) correspond with the latest version of the Official Journal:

[https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52021XC0215\(01\)](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX:52021XC0215(01)) Checked

If not, please provide the updated notification to DG MARE through the Functional mailbox: MARE-NOTIFICATIONS-IUU-1005-2008@ec.europa.eu

Please state your notified authorities under the IUU Regulation in accordance with Article 39.4 (nationals):

The list of the Official Journal is updated.

DGRM is responsible for exercising the functions of National Fisheries Authority under the provisions of the Union Control System and the regime that aims to prevent, stop and eliminate illegal, unreported and unregulated (IUU) fishing, including those concerning Articles 15(2), 17(8), 21(3) and 39.4 of Council Regulation (EC) No 1005/2008.

Details and contacts are listed above.

Additionally, contact details of regional services are provided below.

Azores:

Regional Fisheries Inspection (*Inspeção Regional das Pescas – IRP*)

Address: Rua Cônsul Dabney - Colónia Alemã, Ap. 9

9900-014 Horta

Phone: [REDACTED]

[REDACTED] [@azores.gov.pt](mailto:[REDACTED]@azores.gov.pt)

Info.irp@azores.gov.pt |

<http://www.pescas.azores.gov.pt/>

Madeira:

Regional Fisheries Directorate (*Direção Regional de Pescas/ Direção de Serviços de Inspeção e Controlo*)

Address: Edifício da Sociedade Metropolitana de Câmara de Lobos, Praça da Autonomia

9300-138 Câmara de Lobos

Phone: [REDACTED]

[REDACTED] [@madeira.gov.pt](mailto:[REDACTED]@madeira.gov.pt)

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Section 1. Information on legal framework¹

Please transmit your national law and/or any administrative guides for the implementation of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation). If available, please provide the link to the official national database.

Decree-law n° 35/2019, laying down the sanctions applied to the commercial maritime fishing activity, including the punishment of facts practiced on IUU fishing vessels.

<https://dre.pt/web/en/home/-/contents/120696372/details/normal> - summary in English

<https://dre.pt/home/-/dre/120696372/details/maximized> - Portuguese language

Section 2. Information on administrative organisation²

2.1. Please provide information on your administrative organisation for the implementation of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation), in particular as regards:

- port inspections (Chapter II);
Carried out by the Unit/Division of Inspection of Maritime Activities
(*Divisão de Inspeção das Atividades Marítimas – DIAM*)
- catch certification scheme (Chapter III).
Carried out by the Unit/Division of Control of Maritime Activities
(*Divisão de Controlo das Atividades Marítimas – DCAM*)

REMARKS:

- Both Units belong to the same Directorate of Inspection, Monitoring and Control of Maritime Activities (DSMC)
- Before November 2021 both Units were a single Unit (*Division of Inspection and Control, Divisão de Inspeção e Controlo – DIC*)

If different authorities/services are involved in the implementation of the IUU Regulation, please distinguish between:

Same services, at central level

- *the control of direct landings of third country fishing vessels;*
- *validation of catch certificates upon exports;*
- *checks and verifications of catch certificates for imports under direct landing;*
- *checks and verifications of catch certificates for imports arriving by other means than fishing vessels (e.g. by containers, trucks);*
- *validation and verifications of re-exports.*

In addition (if different authorities/services are involved), please explain and describe:

a) *vertical co-operation (between local/regional authorities and head-quarter);*

No local services involved.

At regional level (Madeira and Azores), the articulation and cooperation between DSMC and the regional services are carried out within the scope of the Planning and Programming Commission (CPP) of the SIFICAP System Network, approved by Decree-Law n° 79/2001.

¹ This section 1 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

² This section 2 is to be filled-in by all Member States i.e. coastal and landlocked Member State.

- b) *co-operation between different authorities and allocation of tasks for various authorities in the implementation of the IUU Regulation (Fisheries, Health, Customs, Coast Guard, Navy, etc.).*

When necessary DGRM cooperates with other entities involved in the inspection of fishing activity, namely those that are articulated within the scope of cooperation carried out in the Planning and Programming Commission (CPP) of the SIFICAP System Network: Navy, Air Force, Maritime Police and Coastal Control Unit of the GNR.

Cooperation with the Tax and Customs Authority (AT) is daily and effective, receiving our (DCAM) Import Authorizations for each consignment and double-checking the catch certificates.

Cooperation with the Directorate-General of Food and Veterinary Medicine (DGAV) is close, in particular with the Border Control Posts. DSCE/CHED and Health Certificates are also received and checked by DCAM prior to all imports.

2.2. How many officials are involved in the implementation of the catch certification scheme?

Please specify the number of officials expressed in Full Time Equivalent (FTE):

- *for checks and verifications in accordance with Articles 16 and 17;*
2 FTE
- *for validation of catch certificates and re-export certificates in accordance with Articles 15.2 and 21.3.*
1 FTE

Not yet been possible to replenish staffing needs.

2.3. Does your country have freezones/freeports³ in which activities relevant to importation/exportation/processing of fishery products are authorised?

Yes No

If yes, please provide a list of such zones/ports.

Section 3. Information on access to ports, including for direct landings and transhipments of fishery products, by third country fishing vessels⁴ (and information on related port inspections and confirmed infringements)⁵

3.1. Does your country have designated ports for access to port services or direct landings or transhipment of fishery products by third country fishing vessels (Article 5 of the IUU Regulation⁶)?

Yes No

If yes, please check if your list of designated ports in accordance with Article 5.3 corresponds to the latest version of the Office Journal:

³ https://ec.europa.eu/taxation_customs/business/customs-procedures/what-is-importation/free-zones_en

⁴ Fishing vessels as defined in article 2.5 of the IUU Regulation.

⁵ This section 3 refers to Chapter II (Articles 4 to 11) of the IUU Regulation and is applicable to coastal Member States. Landlocked Member States should not fill in this section.

⁶ Please note that ports designated under Regional Fisheries Management Organisations must also be designated under the IUU Regulation with restrictions if necessary (species, etc.).

If not, please provide the updated notification to DG MARE through the Functional mailbox: MARE-NOTIFICATIONS-IUU-1005-2008@ec.europa.eu

Designates ports remain set in the Ordinance n° 58/2014. We await the publication of a new one that will repeal it and the update will be done then.

3.2. In years 2020 and 2021 respectively, how many times have third country fishing vessels been granted authorisation to access designated ports in your country only for repair/maintenance/supply?⁷

In 2020 – 3

In 2021 - 4

3.3. How many landings and transshipments in designated ports by third country fishing vessels have been recorded by your country between 1 January 2020 until 31 December 2021? How many inspections did your country carry out and how many infringements have been detected?

Please fill-in the table below (2020):

Inspections of third country vessels in Member States ports (2020)								
Type of operation	Vessels	Figures (2020)	Flag of the third country vessel(s) ⁸					Total
			Ex. NO	FS1	FS2	FS3	FSx	
Landings	Non-EU vessels using MS designated ports	Number of landings	100					1
		Number of inspections	10					1
		% of inspections / landings	10%					100%
		Number of infringements	3					0
Transshipments	Non-EU vessels using MS designated ports	Number of transshipments in ports	2					0
		Number of inspections	0					0
		% of inspections / transshipments	0					0
		Number of infringements	0					0

⁷ It is reminded that provisions of Chapter II apply to third country fishing vessels aiming to access EU ports for port services, even in cases they do not carry fishery products on board and therefore no landing or transshipment operation is foreseen. Only designated ports in accordance with Article 5 can be used in this context.

⁸ ISO Alpha-2 country codes.

Please fill-in the table below (2021):

Inspections of third country vessels in Member States ports (2021)								
Type of operation	Vessels	Figures (2021)	Flag of the third country vessel(s) ⁹					Total
			Ex. NO	FS1	FS2	FS3	FSx	
Landings	Non-EU vessels using MS designated ports	Number of landings	100					0
		Number of inspections	10					0
		% of inspections / landings	10%					0
		Number of infringements	3					0
Transshipments	Non-EU vessels using MS designated ports	Number of transshipments in ports	2					0
		Number of inspections	2					0
		% of inspections / transshipments	100%					0
		Number of infringements	0					0

3.4. From the figures above, in the cases where your country detected infringements concerning third country vessels, please specify for each infringement the flag, the vessel's name, the type of infringement and the measures taken / sanction imposed (Article 11 of the IUU Regulation).

Please fill-in the table below (2020):

Flag of the third country vessel ¹⁰	Name of the third country fishing vessel	Type of infringements	Measures taken
FSx	-----	-----	-----

Please fill-in the table below (2021):

Flag of the third country vessel ¹¹	Name of the third country fishing vessel	Type of infringements	Measures taken
FSx	-----	-----	-----

3.5. Please provide:

- the quantities of fish landed by third countries' fishing vessels in your designated ports in 2020 and 2021, respectively (by species and flag of the vessels);

⁹ ISO Alpha-2 country codes.

¹⁰ ISO Alpha-2 country codes.

¹¹ ISO Alpha-2 country codes.

- the quantities of fish transhipped from third countries' fishing donor vessels (to third countries or EU fishing receiving vessels) in your designated ports in 2020 and 2021, respectively (by species and flag of the vessels):

Please fill-in the table below (landings):

Landings in 2020		Landings in 2021	
Flag of the third country vessel ¹²	Landed quantities by species	Flag of the third country vessel ¹³	Landed quantities by species
Mauritania (vessel [REDACTED])	21 species 13.440Kg	-----	-----

Please fill-in the table below (transhipments):

Transhipments in 2020		Transhipments in 2021	
Flag of the third country vessel ¹⁴	Transhipped quantities by species	Flag of the third country vessel ¹⁵	Transhipped quantities by species
-----	Species 1: Species 2: Species x:	-----	Species 1: Species 2: Species x:

3.6. Has your country recorded any case of non-compliance by third country fishing vessels with the provisions of Articles 6 (prior notice) and 7 (authorisation) of the IUU Regulation?

Yes No

If yes, please detail the nature of the infringement and the measures taken / sanctions applied:

In 2020: 0

In 2021: Belize fishing vessel. Absence of prior notification (art^o6°). Fine of 250€.

3.7. Since January 2020, has your country denied access to its ports to a fishing vessel for port services, landing or transhipment of fishery products based on the conditions of the IUU Regulation?

Yes No

If yes, please describe the case for each vessel concerned (please include the flag of the vessel and its name) and detail the reasons for the denial:

¹² ISO Alpha-2 country codes.

¹³ ISO Alpha-2 country codes.

¹⁴ ISO Alpha-2 country codes.

¹⁵ ISO Alpha-2 country codes.

In 2020:

In 2021:.

3.8. Do you have cases of third country fishing vessels landing in your ports with the landed products destined to another Member State? [Article 19.3 of the IUU Regulation]

Yes No

If yes, please indicate the number of landings meant for transit:

In 2020:.

In 2021:.

3.9. In order to identify the vessels to be subject to port inspection, do you use risk assessment criteria [cf. benchmarks for port inspections, Article 4 of Regulation (EC) No1010/2009]?

Yes No

Not applicable (e.g. in the absence of landings/transhipments from third countries)

If yes, please detail, in order to reach the objective of 5% of landing and transhipment operations as set in Article 9.1 of the IUU Regulation, which benchmarks you use and rank them:

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Section 4. Information on catch certification scheme for importation for the purpose of the IUU Regulation¹⁶

4.1. How many catch certificates¹⁷ from non-EU countries were submitted to the authorities of your country from 1 January 2020 until 31 December 2021? Please complete the following table by flag State validating the catch certificates, including in cases catch certificates are accompanied by processing statements.¹⁸ Please only provide information on catch certificates accompanying the consignments to be imported in your country; for consignments meant for transit to another Member State (Article 19.1 of the IUU Regulation), please see the question 4.7. of this questionnaire.

Flag State (non-EU) ¹⁹	Code	2020	2021*
Angola	AO	0	2
Algeria	DZ	4	3
Antigua and Barbados	AG	2	0
Argentina	AR	237	266
Belize	BZ	20	13
Bengladesh	BD	0	5
Canada	CA	64	90
Cape Verde	CV	0	119
Chile	CL	53	65
China	CN	713	999
Colombia	CO	4	1
Coraçao	CW	3	4
Ecuador	EC	170	280
Egypt	EG	29	93
El Salvador	SV	21	9
Falkland	FK	10	12
Faroe	FO	8	11
Gambia	GM	11	10
Ghana	GH	105	67
Greenland	GL	4	9
Guatemala	GT	0	9
Iceland	IS	77	82
India	IN	464	510
Indonesia	ID	202	216
Ivory Coast	CI	2	12
Japan	JP	1	0
Korea (D.P.)	KP	4	0
Kenia	KE	20	15
Madagascar	MG	102	70
Malaysia	MY	1	0
Maldives	MV	4	4
Mauritania	MR	130	81
Mauritius	MU	22	25

¹⁶ Section to be filled-in by all Member States.

¹⁷ Please provide only the number of catch certificates i.e. not the number of all transactions (imports/declarations) where the same certificates have been (re)used.

¹⁸ If catch certificates are submitted only for transshipment purposes, please specify.

¹⁹ ISO Alpha-2 country codes.

Mexico	MX	10	26
Morocco	MA	387	291
Mozambique	MZ	795	576
Namibia	NA	311	272
New Zealand	NZ	118	133
Nicaragua	NI	35	27
Nigeria	NG	0	2
Norway	NO	181	170
Oman	OM	6	1
Pakistan	PK	0	1
Panama	PA	183	172
Papua	PG	15	7
Peru	PE	76	106
Philippines	PH	86	105
Russia	RU	849	847
S. Pierre Miquelon	PM	2	0
Senegal	SN	605	646
Seychelles	SC	255	202
Solomon	SB	0	6
South Africa	ZA	463	403
South Korea	KP	47	110
Sri Lanka	LK	125	63
Suriname	SR	10	0
Taiwan	TW	66	58
Tanzania	TZ	38	33
Thailand	TH	6	14
Turkey	TR	4	18
Tuvalu	TV	0	1
UK	UK	0	29
Uruguay	UY	28	15
USA	US	574	330
Venezuela	VE	2	11
Vietnam	VN	151	124
Yemen	YE	5	4
Total		7.920	7.875

Remark: includes catch certificates that have been checked for consignments in transit

4.2. From the number above, how many recognised RFMO catch certificates (Annex V to Commission Regulation 1010/2009) accompanied consignments destined to your country? *Please detail per RFMO certificate and year.*

RFMO document	2020	2021
<i>ICCAT (electronic)-bluefin tuna catch document</i>	84	15
<i>Dissostichus spp. (CCAMLR)</i>	0	0
<i>CCSBT CDS</i>	0	0

RFMO document	2020	2021
Total	84	15

4.3. How many catch certificates from EU Member States (including from your country) were presented to the authorities of your country from 1 January 2020 until 31 December 2021?

Flag State (EU)	2020	2021
Denmark	0	2
Spain	530	501
France	198	111
Italy	6	1
Portugal	4	6
Total	738	621

4.4. From the number above, how many recognised RFMO catch certificates from EU Member States accompanied imports into your country? *Please detail per RFMO certificate and year.*

RFMO document	2020	2021
<i>ICCAT (electronic)-bluefin tuna catch document</i>	0	0
<i>Dissostichus spp. (CCAMLR)</i>	0	0
<i>CCSBT CDS</i>	0	0
Total	0	0

4.5. How many processing statements (Article 14.2) were submitted to the authorities of your country from 1 January 2020 until 31 December 2021? Please provide details per year and per processing country.

Processing non-EU State²⁰	2020	2021
China	625	556
South Korea	119	68
Cape Verde	54	43
Ecuador	69	121
Vietnam	46	30
Other countries	173	105
Total	1.086	923

4.6. Please indicate if you retain and record the information contained in processing statements referring to the corresponding catch certificates (quantity management):

²⁰ ISO Alpha-2 country codes.

Yes No

Not applicable (e.g. in the absence of processing statements received from non-EU countries in 2020-2021)

4.7. Do you have cases where third country fishery products arriving to your country (entry point) were destined to another Member State? [Article 19.1 of the IUU Regulation]

Yes No

If yes, please indicate the number consignments meant for transit:

In 2020: 323, all to Spain

In 2021: 112, all to Spain

4.8. Has your country received requests to authorise APEOs²¹ in 2020-2021?

Yes No

If yes, how many requests has your country received and how many APEOs have been authorised?

4.9. Has your country adopted administrative rules referring to the management and control of APEOs in 2020-2021?

Yes No

Not applicable (e.g. absence of APEO request) If yes, please detail:

4.10. Has your country validated re-export certificates for products imported from 1 January 2020 until 31 December 2021?

Yes No

If yes, how many re-export certificates? Please detail per year and, if possible, per destination country:

Destination country (non-EU) ²²	2020	2021
<i>Mozambique</i>	7	0
<i>Vietnam</i>	4	11
<i>Russia</i>	2	0
<i>China</i>	1	6
<i>UK</i>	0	13
<i>Morocco</i>	0	4
<i>Ukraine</i>	0	0
Total	14	34

²¹ Approved Economic Operators – IUU Regulation, Article 16 and Implementing Regulation (EC) 1010/2009, Chapter II

²² ISO Alpha-2 country codes.

4.11. Does your country monitor if the catches for which your country has validated a re-export certificate actually leave the EU?

Yes *No*

Not applicable (e.g. in the absence of validation of re-export certificates in 2020-2021)

If yes, please detail:

4.12. Has your country established any IT tools to monitor the catch certificates and processing statements accompanying imports?

Yes *No*

If yes, does it include a module for re-exportation of imported catches?

Yes *No*

The IUU officials register and file a copy of each import/re-export process in our document management system (SmartDocs), including catch certificates, industrial declaration, health certificates and transport documents.

SmartDocs is an IT tool is used for all types of internal tasks, so it is neither exclusive nor specific for IUU matters.

For each import a huge Excel file dedicated to IUU subject is simultaneously filled.

4.13. Does your country implement the provisions regarding transit under Article 19.2 at the point of entry or the place of destination?

At the point of entry *At the place of destination* *Not implemented*

Section 5. Information on catch certification scheme for exportation²³

5.1. Have you established a procedure for validation of catch certificates for exportation of catches from own vessels in accordance with Article 15?

Yes No

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2020-2021)

If yes, please explain briefly the established procedure and answer questions 5.2 to 5.5.

Following the rules established in article 12 of Regulation No. 1005/2008 and according to the manual “*Controlo IUU | 2017 Ficha 03 – Verificação de exportações*” (attached at the end of the document).

5.2. Have you validated catch certificates for exportation in 2020-2021 in accordance with Article 15?

Yes No

If yes, how many catch certificates did you validate from 1 January 2020 to 31 December 2021? Please provide details per requesting third country/country of destination in the following table:

Destination State ^{24, 25}	Year	
	2020	2021
Total	366	1042

Remarks:

- Search by country is available
- When operators of other countries, in addition to those that have notified the Commission to this effect, require catch certificates for national products, DGRM verify the request and issue the certificates to avoid blockages to the export activity for that reason.

5.3. Have you established any IT tool to monitor the catch certificates you have validated for fish caught by your own vessels?

Yes No

As for imports, also in the case of exports all data are registered in our document management system (SmartDocs).

5.4. Do you monitor that the catches for which you have validated catch certificates actually leave the EU?

Yes No

²³ Section to be filled-in by flag Member States.

²⁴ ISO Alpha-2 country codes.

²⁵ It is recommended that the validation of a catch certificate for exportation takes place when the country of destination is known.

Not applicable (e.g. in the absence of validation of catch certificates for exportation in 2020-2021)

5.5. Has your country refused the validation of a catch certificate between 1 January 2020 and 31 December 2021?

Yes *No*

Not applicable (e.g. in the absence of request for validation of catch certificates for exportation in 2020-2021)

If yes, please detail:

Number (per year):

Reason:

Follow-up:

Section 6. Information on checks and verifications of catch certificates and related documents according to Articles 16.1 and 17.1-5 of IUU Regulation²⁶

6.1. Has your country established a procedure for checks of catch certificates in accordance with Article 16.1?

Yes *No*

If yes, please detail:

Following the rules established in article 17 of Regulation No. 1005/2008, according to the manual “*Controlo IUU | 2017 Ficha 02- Verificação de importações* (attached at the end).

6.2. Do you check all catch certificates in light of the information provided in the notifications received from the flag States in accordance with Article 20 (i.e. if all required data are provided in a catch certificate and if these data correspond to the notification from the flag State in question)?

Yes *No*

If no, please provide the following:

- risks identified in 2020 and 2021 on the basis of risk management used (i.e. for risk-based targeting of catch certificates to be checked); and
- number of catch certificates checked, respectively:

Please fill-in the table below:

Flag State (EU or non-EU) ²⁷	2020		2021	
	Overall number of catch certificates received	Number of catch certificates <u>checked</u>	Overall number of catch certificates received	Number of catch certificates <u>checked</u>

²⁶ Section to be filled-in by all Member States

²⁷ ISO Alpha-2 country codes.

<i>EU countries</i>	738		621	
<i>Third countries</i>	7.920		7.875	
...				
<i>Country x</i>				
Total	8.658	All checked	8.496	All checked

What do you check in catch certificates in accordance with Article 16.1? Please describe:

According to the manual “*Controlo IUU | 2017 Ficha 02- Verificação de importações*” all consignments to be imported and respective documentation, including catch certificates, are controlled at a 1st level.

The operator will pass to a 2nd level analysis due to risk criteria referring to country, ship or species, or risk criteria of Article 31 or due to doubts arising in the basic control. Unfortunately there is no evidence/record of this second control.

6.3. Has your country established a procedure for verification of catch certificates for importation in accordance with Article 17.2?

Yes *No*

If yes, please detail:

“*Controlo IUU | 2017 Ficha 02- Verificação de importações*” (attached at the end)

6.4. Do you verify all catch certificates linked to consignments of fishery products intended for importation into the EU or do you verify only part of the catch certificates by applying (or not) risk management?

All *Only part by applying risk management*
 Only part without applying risk management *No verifications*

6.5. If you apply risk management to verifications (Article 17.3), do you use Union (Article 31 of Commission Regulation (EC) 1010/2009) or national criteria for identification of risks on which verifications shall focus?

Union criteria *National criteria*

If you apply Union criteria, please detail the methodology used (and which criteria are used always/regularly, often, occasionally, never):

If you apply national criteria, please detail them and the methodology used:

The criteria laid down in the Manual are basically the community criteria listed in Article 31 of REG.1010/2009. However, from a practical point of view, the criteria are not applied on a daily basis because it was decided to check all certificates and documentation received.

6.6. Please provide information on the risks identified in 2020 and 2021 respectively (Article 32 of Commission Regulation (EC) 1010/2009) on the basis of the criteria used. Please also provide information on whether all consignments identified as risky have been covered by verifications. If this is not the case, please specify the percentage of consignments identified as risky that have been covered by verifications and the reasons of such situation.

In general, no specific risks were identified in consignments received in 2020/2021.

6.7. How many catch certificates have been verified by your administration from 1 January 2020 until 31 December 2021? Please specify, separately for each year:

Flag State (EU or non-EU) ²⁸	2020		2021	
	Overall number of catch certificates received	Number of catch certificates <u>checked</u>	Overall number of catch certificates received	Number of catch certificates <u>checked</u>
<i>EU countries</i>	738		621	
<i>Third countries</i>	7.920		7.875	
...				
<i>Country x</i>				
Total	8.658	All checked	8.496	All checked

Please reply to the following questions:

- How many catch certificates have you verified in the context of the application of Article 17.4?
- How many catch certificates have you verified on the basis of risk identified in accordance with Article 17.3?
- How many catch certificates have been verified at random (Article 17.5)?

As mentioned above, all certificates were checked.

6.8. Does your country also physically verify the consignments?

Yes No

If yes, please detail:

Number (per year) and percentage in relation to all verifications made:

2020 – Two inspections

2021 – One inspection

The verification can be carried out by fisheries inspectors. However, because of the limited capacity and absence of serious risk (assessment) only 3 inspections were carried out on the spot.

In addition, some doubts on a few consignments were clarified requesting DAGV inspectors at the Border Control Posts.

²⁸ ISO Alpha-2 country codes.

Section 7. Assistance requests to third countries²⁹

7.1. Have you sent assistance requests for verifications under Article 17.6 of the IUU Regulation to other flag States' authorities in 2020-2021?

Yes No

If yes, how many assistance requests for verifications? *Note: please provide separate data for 2020 and 2021:*

Flag States ³⁰	No of assistance requests for verifications 2020	Justification	No of assistance requests for verifications 2021	Justification
Namibia			1	
China			1	
Russia	1			
South Africa	1			
Albania	1			
Peru	1			
Total	5		2	

7.2. How many assistance requests for verification were not replied to by the other flag States' authorities within the deadline provided in Article 17.6 of the IUU Regulation? In these cases, do you send a reminder to the authorities of the country in question? Could you please specify when the assistance request, despite reminder(s), remained unanswered? What measures have you taken in that case (i.e. denial of importation) [Please provide separate data for 2020 and 2021]

2020: Namibia did not reply. A sheet of a document was missing, the import was authorized.

2021: Satisfactory replies.

Was the quality of the answers provided overall sufficient to satisfy the assistance request? If no, please explain why the quality was not sufficient, and the measures you have taken in such case(s).

7.3. Have you sent assistance requests to other countries than the flag State? If yes, please specify the number, the reasons and the countries concerned, and the quality of the feedback provided.

No

7.4. Have you been using IT systems developed by third countries allowing for a full or partial verification of catch certificates and how many verifications were made through these systems (approximately)?

China and USA IT systems for C.Certificates.

²⁹ Section to be filled-in by all Member States

³⁰ ISO Alpha-2 country codes.

Section 8. Information on refusal of importations (Article 18 of the IUU Regulation)³¹

8.1. Has your country refused any imports from 1 January 2020 until 31 December 2021? *Note: please only consider refusals based on the IUU Regulation, not for other reasons e.g. Food Safety legislation, Customs legislation, etc.*

Yes No

If yes, please provide details in the table below:

Reason for refusal of importation	2020		2021	
	Flag State ³²	No.	Flag State ³³	No.
Non-submission of a catch certificate for products to be imported.				
The products intended for importation are not the same as those mentioned in the catch certificate.				
The catch certificate is not validated by the notified public authority of the flag State				
The catch certificate does not indicate all the required information.				
The importer is not in a position to prove that the fishery products comply with the conditions of Article 14.1 or 2.				
A fishing vessel figuring on the catch certificate as vessel of origin of the catches is included in the EU IUU vessel list or in the IUU vessel lists referred to in Article 30.				
The catch certificate has been validated by the authorities of a flag State identified as a non-cooperating State in accordance with Article 31				
Further to the request for verification (Article 18.2)				

8.2. If the answer to 8.1 is yes, what measures were taken by your authorities in relation to the consignments refused (Article 18.3)?

³¹ Section to be filled-in by all Member States

³² ISO Alpha-2 country codes.

³³ ISO Alpha-2 country codes.

8.3. In case of refusal of importation, did any operator contest the decision of the authorities of your country?

Yes

No

If yes, please detail: **No refusals, only suspended imports.**

Section 9. Information on trade flows³⁴

Please provide information, based on your statistical data, concerning any important change of trade patterns in imports of fishery products into your country since the last reporting period covering 2018-2019:

No pattern changes noticed, only reduction of imported consignments.

Section 10. Information on mutual assistance³⁵

10.1. Since the last reporting exercise covering the period 2018-2019, how many mutual assistance messages of the Commission (DG MARE B4) has your country replied to?³⁶

Please provide separate data for 2020 and 2021 (if any)

2020: six, at least.

2021: seven, at least

10.2. Since the last reporting exercise covering the period 2018-2019, has your country sent any mutual assistance message to the Commission/other Member States?

Please provide separate data for 2020 and 2021 (if any)

2020: One to DGMARE.

³⁴ Section to be filled-in by all Member States

³⁵ Section to be filled-in by all Member States

³⁶ Please provide the number of all replies, regardless of their content i.e. including replies which, for example, only confirmed that the request was not relevant for your country. Then please specify the number of cases where you took action and describe the actions taken.

Section 11. Information on cooperation with third countries³⁷

Apart from assistance requests in the context of verifications of catch certificates and accompanying documents foreseen under the catch certification scheme (Article 17.6), has your country had other exchanges with third countries on issues related to the implementation of the IUU Regulation, such as follow-up of cases concerning nationals, trade flows, operators, private fishing licencing, re-flagging operations, investigations of cases of IUU fishing (Article 42) and investigations of criminal activities associated to IUU fishing?

Yes No

If yes, please detail (please provide separate data for 2020 and 2021, if any).

Section 12. Information on nationals³⁸

For the reporting period in question, a separate call for information on nationals supporting/engaging in IUU fishing activities has been sent to the Single Liaison Offices of Member States and EFCA as part of a study on the implementation of Articles 39 and 40 of the IUU Regulation. As a result, this section of the biennial reports will be replaced for this reporting period by the more specialised call for data for the abovementioned study.

Member States are kindly asked to provide their input to the external consultant in charge of the study. The Commission will evaluate the responses of Member States and include them in its overall assessment of the biennial reports.

Section 13. Infractions (Chapter IX of the IUU Regulation) and Sightings (Chapter X of the IUU Regulation)³⁹

13.1. Has your country detected serious infractions as defined in Article 42 of the IUU Regulation from 1 January 2020 until 31 December 2021?

Yes No

If yes, please detail separately for each year the number of serious infractions, nature and sanctions applied:

Flag State of the vessel or nationality of the operator (EU and non-EU) ⁴⁰	Serious infractions detected in 2020:			Serious infractions detected in 2021:		
	Number	Nature	Sanctions applied	Number	Nature	Sanctions applied
<i>Country 1</i>						
<i>Country 2</i>						
...						
<i>Country x</i>						

³⁷ Section to be filled-in by all Member States

³⁸ Section to be filled-in by all Member States

³⁹ Section to be filled-in by all Member States

⁴⁰ ISO Alpha-2 country codes.

Flag State of the vessel or nationality of the operator (EU and non-EU) ⁴⁰	Serious infringements detected in 2020:			Serious infringements detected in 2021:		
	Number	Nature	Sanctions applied	Number	Nature	Sanctions applied
<i>Total</i>						

13.2. Has your country applied or adapted its levels of administrative sanctions in accordance with Article 44?

Yes *No*

If yes, please detail:

Decree-law n° 35/2019, laying down the sanctions applied to the commercial maritime fishing activity, including the punishment of facts practiced on IUU fishing vessels by nationals

Have you used criminal sanctions? If yes, please specify if in addition to or in replacement of administrative sanctions:

No criminal sanctions.

13.3. Has your country issued sighting reports from 1 January 2020 until 31 December 2021?

Yes *No*

If yes, how many sighting reports were issued by your country from 1 January 2020 until 31 December 2021?

Flag State of the sighted vessel (EU and non-EU) ⁴¹	No of sighting reports issued in 2020	No of sighting reports issued in 2021
<i>Country 1</i>		
<i>Country 2</i>		
...		
<i>Country x</i>		
<i>Total</i>		

13.4. Since the last reporting exercise covering the period 2018-2019, has your country received any sighting reports for vessels flying its own flag from other competent authorities?

Yes *No*

⁴¹ ISO Alpha-2 country codes.

If yes, please detail follow-up (in accordance with Article 50 of the IUU Regulation).

Section 14. General

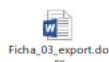
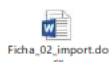
14.1. During the reporting period 2020-2021, what have been the main difficulties that your country has encountered in implementing the IUU Regulation, including the catch certification scheme?

Our difficulties remain essentially related to scarce human resources and the lack of a user friendly IT tool that allows the easy registration and consultation of the numerous data required.

The perceived level of compliance in this matter remains high - products, companies, customs brokers, authorities and trade flows tend to be repeated and well known.

Section 15. Any other comment

Attached documents (2) (in Portuguese):



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