

**QUESTIONNAIRE to be used for biennial reporting  
on the application of the IUU Regulation**

<b>Member State:</b>	ROMANIA
<b>Organisation:</b>	National Agency for Fisheries and Aquaculture, Ministry of Agriculture and Rural Development
<b>Date:</b>	28.04.2016
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<b>May the Commission provide a copy of this questionnaire to other Member States?</b>	
<b>Yes:</b>	YES
<b>Yes except for questions (list):</b>	
<b>No:</b>	

**Section 1: Legal framework**

Since the last reporting exercise in 2014, has your country modified national law or any administrative guides for the application of Council Regulation (EC) No 1005/2008 on illegal, unreported and unregulated fishing (IUU Regulation)? NO

If yes, please detail and provide copies.

**Section 2: Administrative Organisation**

2.1 How has your country organised its services to deal with the implementation of the IUU Regulation (verification of catch certificates, validation of catch certificates for own vessels, etc.)?

- a) internal co-operation (between local/regional Fisheries authorities and head-quarter);
- b) co-operation with other authorities and allocation of tasks for various authorities in the implementation of the IUU Regulation (Health, Customs, Coast Guard, Navy, etc.); YES
- c) how many persons are involved in the implementation of the catch certificate? TWO PEOPLE

If different, please distinguish between direct landings of 3<sup>rd</sup> country fishing vessels and other imports (processed products)

2.2 Do the authorities of your country have the possibility to audit/verify a company for the purposes laid down in the IUU Regulation? If yes, which audits/verifications have they undertaken since the last reporting exercise in 2014? Please detail. NO

2.3 Does your country have freezones/freeports in which activities relevant to importation/exportation/processing of fishery products are authorised? NO

**Section 3: Direct landings of third country fishing vessels** (only applicable if designated ports) NO

*Please list your country's designated ports.*

3.1 How many landings and transhipments of third country vessels have been recorded by your country between 1 January 2014 until 31 December 2015?

Port name*	No. of landings	Comments	No. of transhipments	Comments
none				
<b>Total</b>		--		--

*\* If the port is designated also for an RFMO, please indicate which RFMO in brackets.*

3.2 Approximately, what percentage of the third country fishing vessel landings arrives in transit in your country? N/A

3.3 Has your country had any problems with third country fishing vessels when implementing Articles 6 (prior notice) and 7 (authorisation) of the IUU Regulation? NO

If yes, please detail:

- a) in which ports;
- b) the nature of problem;
- c) vessel details (name, flag, master, etc.).

3.4 Since January 2014, has your country refused access to its port services to a fishing vessel for activities of landing or transhipment of fishery products? Was this refusal based on the conditions of the IUU Regulation? NO

If yes, please detail:

- a) in which ports;
- b) the nature of problem;
- c) vessel details (name, flag, master, etc.).

3.5 Do third country fishing vessels accessing your country's ports use the templates for prior notifications and pre-landing/pre-transhipment provided by the Implementing Regulation 1010/2009 or those used in RFMOs? Please detail, when RFMO forms are used. NO



**Section 4: Port inspections in accordance with Section 2 of the IUU Regulation**

4.1 Between 1 January 2014 and 31 December 2015, how many fishing vessels of third countries had access to the designated ports for landing or transhipment of fishery products? NONE

4.2 How many fishing vessels were inspected between 1 January 2014 and 31 December 2015? NONE

Reason for inspection :	Flag State
Sighted at sea in activities that may be considered illegal, unreported and unregulated	
Based on the EU IUU vessel list	
Other (please detail)	

4.3 In accordance with Article 9.1, has your country carried out inspections in its designated ports of at least 5% of landing and transhipment operations by third country fishing vessels each year? NO

If yes, please detail.

If no, please explain.

4.4 Does your country use risk assessment criteria for the port inspections?

If yes, please detail. NO

4.5 Has your country detected any infringements between 1 January 2014 and 31 December 2015? If yes, NO

– how many and of what nature? Please specify.

– did your country apply the procedure in case of infringements as foreseen in Article 11?

**Section 5: Catch certification scheme for importation for the purpose of the IUU Regulation<sup>1</sup>**

*Please state your country's notified authorities under Articles 17.8 and 21.3.*

5.1 How many catch certificates were presented to the authorities of your country from 1 January 2014 until 31 December 2015?

If possible, please provide details per flag State (FS).

Flag State \ Year	2014	2015
UNITED KINGDOM	6	0
ARGENTINA	5	1

<sup>1</sup> Article 2.11 of the IUU Regulation – "importation means the introduction of fishery products into the territory of the Union, including for transhipment purposes at ports in its territory"

Flag State \ Year	2014	2015
CANADA	9	12
CHILE	2	3
CHINA	38	17
ECUADOR	41	31
EL SALVADOR	6	-
FALKLAND ISLAND	2	-
FRANCE	1	-
GREENLAND	6	-
INDONESIA	18	3
KOREEA	15	20
MAROC	6	33
MEXIC	-	1
NETHERLAND	6	-
NEW ZEALAND	4	4
NORWAY	5	1
PANAMA	-	5
PAPUA NEW GUINEA	1	-
PERU	26	25
PHILIPPINES	18	42
RUSIA	30	37
SEYCHELLES	14	-
SOLOMON ISLANDS	-	3
ESPANIA	68	25
USA	52	40
TAIWAN	73	34
THAILANDA	112	80
URUGUAY	-	1
VIETNAM	21	20

Flag State \ Year	2014	2015
<b>Total</b>	<b>585</b>	<b>438</b>

5.2 From the number above, how many recognised RFMO catch certificates accompanied imports into your country? Please detail per type of RFMO certificate and year.

RFMO \ Year	2014	2015
ICCAT BFT	-	-
Dissostichus spp. (CCAMLR)	-	-
CCSBT CDS	-	-
<b>Total</b>	<b>-</b>	<b>-</b>

5.3 How many processing statements under Article 14.2 accompanied imports into your country?

If possible, please provide details per year and per processing country.

Processing State \ Year	2014	2015
CHINA	53	53
ECUADOR	-	20
MAURITIUS	38	-
PHILIPPINES	1	4
VIETNAM	6	2
<b>Total</b>	<b>283</b>	<b>169</b>

5.4 Please explain if the information in processing statements referring to the corresponding catch certificates is retained and recorded. YES

5.5 How many requests to authorise APEO<sup>2</sup>s has your country received and how many APEOs have been authorised? NONE

5.6 Please explain briefly the administrative rules referring to the management and control of APEO. NOT APPLICABLE

5.7 How many re-export certificates were validated by your country for imported products from 1 January 2014 until 31 December 2015? Please detail per year and, if possible, per destination country. NONE

<sup>2</sup> Approved Economic Operators – IUU Regulation, Article 16 and Implementing Regulation (EC) 1010/2009, Chapter II



5.8 Does your country monitor if the catches for which your country has validated a re-export certificate actually leave the EU? NO

5.9 Has your country established any IT tools to monitor the catch certificates and processing statements accompanying imports? Does it include a module for re-exportation of imported catches? NO

If yes, please detail.

5.10 Does your country implement the provisions regarding transit under Article 19.2 at the point of entry or the place of destination? NO

## Section 6: Catch certification scheme for exportation

*Please state your notified authorities under Article 15.2.*

6.1 Has your country established a procedure for validation of catch certificates for exportation of catches from own vessels? YES

If yes, please explain briefly the established procedure and answer questions 6.2 to 6.5.

*The application for the control and verification of catch certificates and other related documents along with the proof of payment of the visa fee shall be recorded in the NAFA entries register, the documents of the vessel and the catch shall be checked (whether the vessel is licensed, authorized), the catch certificate shall be then drawn/approved, and the original shall be handed to the exporter, while copy no. 2 shall be kept by NAFA Constanta for processing.*

6.2 How many catch certificates did your country validate from 1 January 2014 to 31 December 2015? If possible, please provide details per requesting country/country of destination in the following table.

Destination State	IUU Regulation (Art. 14.2 / Art. 15)	Year	
		2014	2015
UNITED ARAB EMIRATES	Art. 15	-	3
KOREA	Art. 15	-	3
VIETNAM	Art. 15	-	3
<b>Total</b>		-	<b>9</b>

6.3 Has your country established any IT tool to monitor the catch certificates validated for exports stemming from own vessels? NO

If yes, please detail.

6.4 Does your country monitor that the catches for which your country has validated Catch Certificates actually leave the EU? NO

If yes, please detail.

6.5 Has your country refused the validation of a catch certificate between 1 January 2014 and 31 December 2015? NO

If yes, please detail.

### **Section 7: Verifications of catch certificates for importation**

7.1 Has your country established a procedure for verification of catch certificates for importation? YES

If yes, please detail.

*The application for the control and verification of catch certificates and other related documents along with the proof of payment of the visa fee shall be recorded in the NAFA entries register, and it shall be checked especially when the catch certificate has been validated by the competent authority notified by the third country. The NAFA employee endorses and approves filling-in Section 12 and Section 8 of the third country's catch certificate. The catch certificate/Annex IV in original shall be archived for a minimum of three years.*

7.2 How many catch certificates have been verified from 1 January 2014 until 31 December 2015? 1023 CATCH CERTIFICATES

7.3 Does your country use a risk assessment approach for verification of catch certificates? NO

If yes, please detail.

7.4 Does your country also physically verify the consignments? NO

If yes, please detail (reason, method of selection, number, etc.).

### **Section 8: Verification requests to flag States**

8.1 How many requests for verifications have been sent to third country authorities? NONE

What were the main reasons for these requests? Please specify by using the reasons provided in Articles 17.4 and 17.6 of the IUU Regulation.

8.2 How many requests for verification were not replied to by the third country authorities within the deadline provided in Article 17.6 of the IUU Regulation? Does your country in these situations send a reminder to the third country authorities? NONE

8.3 Was the quality of the answers provided overall sufficient to satisfy the request? N/A

### **Section 9: Refusal of Importations**

9.1 Has your country refused any imports from 1 January 2014 until 31 December 2015? NO

If yes, please provide details in the table below:

Reason for refusal of importation	2014	2015
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	Flag State	No.	Flag State	No.
Non-submission of a catch certificate for products to be imported.				
The products intended for importation are not the same as those mentioned in the catch certificate.				
The catch certificate is not validated by the notified public authority of the flag State				
The catch certificate does not indicate all the required information.				
The importer is not in a position to prove that the fishery products comply with the conditions of Article 14.1 or 2.				
A fishing vessel figuring on the catch certificate as vessel of origin of the catches is included in the Community IUU vessel list or in the IUU vessel lists referred to in Article 30.				
Further to the request for verification (Article 18.2)				

9.2 If the answer to 9.1 is yes, what did your country do with the fishery products?

9.3 In case of refusal of importation, did the operators contest the decision of the authorities of your country?

If yes, please detail.

### Section 10: Trade flows

Did your country note a change of imports of fishery products since the last reporting exercise in 2014? Please provide information, deriving from your country's statistical data, concerning change of trade patterns in imports of fishery products into your country. According to the statistics the imports are decreasing.

### Section 11: Mutual Assistance

11.1 How many mutual assistance messages of the Commission has your country replied to?  
YEARS 2014-2015 - 16 MESSAGES

11.2 Has your country sent any mutual assistance message to the Commission/other Member States? NO

If yes, please detail.

### Section 12: Cooperation with third countries

Apart from verifications and refusals under Articles 17 and 18, has your country had information exchange with third countries on issues related to the implementation of the IUU Regulation, such as follow-up of cases concerning nationals, consignments, trade flows, operators, private fishing licencing, as well as the investigation of criminal activities and serious infringements (Article 42). NO

If yes, please detail



### **Section 13: Nationals**

*Please state your country's notified authorities under Article 39.4.*

- 13.1 Since the last reporting exercise in 2014, has your country implemented or modified existing measures to ensure that your country can take appropriate action with regards to nationals involved in IUU fishing? NO
- 13.2 What measures has your country taken to encourage nationals to notify any information on interests in third country vessels (Article 40.1). NO
- 13.3 Has your country endeavoured to obtain information on arrangements between nationals and third countries allowing reflagging of their vessels? If yes, please list vessels. NO
- 13.4 If yes to any of the above, how many cases has your country dealt with and which administrative or penal follow-up was given? NO
- 13.5 Has your country made use of Article 40.3 and removed public aid under national aid regimes or under Union funds to operators involved in the operation, management or ownership of fishing vessels included in the Union IUU vessel list? If yes, please detail. NO

### **Section 14: Infringements (Chapter IX of the IUU Regulation) and Sightings (Chapter X of the IUU Regulation) NO**

- 14.1 How many infringements did your country record from 1 January 2014 until 31 December 2015? Please detail.
- 14.2 Has your country applied or adapted its levels of administrative sanctions in accordance with Article 44?
- 14.3 How many sighting reports were issued by your country from 1 January 2014 until 31 December 2015?

Please detail.

- 14.4 Has your country received any sighting reports for its own vessels from other competent authorities?

If yes, please detail.

### **Section 15: General**

- 15.1 What have been the main difficulties that your country has encountered in implementing the catch certification scheme? NO
- 15.2 What improvements would your country suggest to the Regulation that would make implementation smoother?

### **Section 16: Any other comments**